



CITY OF LAGUNA HILLS CITY COUNCIL

SPECIAL MEETING AGENDA Thursday, October 14, 2021 – 7:00 PM

Erica Pezold, Mayor
Janine Heft, Council Member

Don Sedgwick, Mayor Pro Tempore
Dave Wheeler, Council Member

Location: City Council Chamber, 24035 El Toro Road, Laguna Hills, CA 92653

Any person wishing to address the City Council only on items listed on this Agenda, is asked to complete a pink "Request to Speak" form available on the table at the back of the Chamber. The completed form is to be submitted to the City Clerk prior to an individual being heard by the City Council. Completion of the form is voluntary. All persons may attend the meeting regardless of whether this form is completed.

Members of the public wishing to address the City Council can do so only on items listed on this Special Meeting Agenda during the Public Comments portion of the Agenda with a time limitation of three minutes per person, an overall time limit of ten minutes for any one subject, and a total time limit of thirty minutes for Public Comments.

Submission of Electronic Public Comments: While you may attend this meeting in person, those wishing to make electronic public comments at the October 14, 2021, Special City Council meeting, may submit comments on items listed on this agenda only, by email to the City Clerk at publiccomments@lagunahillsCA.gov, by 5:00 p.m. on October 14, 2021. In the subject line of the email, please state your name and the item number you are commenting on. Any public comment received that does not specify a particular agenda item number will be announced during the general public comment portion of the agenda. If you wish to submit a public comment on more than one agenda item, please send a separate email for each item you are commenting on. All comments received by 5:00 p.m. on the date of the meeting will be provided to the City Council in advance to allow time for the Council Members to read them.

Announcement of Electronic Public Comments: During the applicable agenda item, the City Clerk will announce the name of the sender and subject matter of all email comments received by 5:00 p.m. on October 14, 2021. Email comments will NOT be read by the City Clerk during the meeting. Electronic public comments may only be submitted via email and comments via text and social media (Facebook, Twitter, etc.) will not be accepted. The email comments, including your name, will become part of the record of the City Council meeting and will be public information.

CALL TO ORDER

Resolution No. 96-04-09-1 established rules of decorum for public meetings held by the City of Laguna Hills. Resolution No. 96-04-09-1 is available on the table at the back of the City Council Chamber.

PLEDGE OF ALLEGIANCE: Council Member Heft

ROLL CALL OF CITY COUNCIL MEMBERS**PUBLIC COMMENTS**

Members of the public may address the City Council only on items listed on the Special Meeting Agenda. Public comments are limited to three minutes per person, an overall time limit of ten minutes for any one subject, and a total time limit of thirty minutes.

CITY COUNCIL VACANCY APPLICATIONS**Review and Discussion of City Council Vacancy Applications Received**

Recommendation: That the City Council review and discuss the applications received for the City Council Vacancy and make selections of qualified candidates to be interviewed by the City Council at a future date(s).

ADJOURNMENT

The next Regular Meeting of the City Council/Planning Agency will be October 26, 2021, in the City Council Chamber, located at 24035 El Toro Road, Laguna Hills, California.

CERTIFICATION

I, Melissa Au-Yeung, City Clerk of the City of Laguna Hills, do hereby certify that a copy of the foregoing Agenda was posted at Laguna Hills City Hall, Laguna Hills Community Center, and the Courtyard at La Paz Center by October 13, 2021, at 7:00 pm.



City Clerk

October 13, 2021

Date

In compliance with the Americans with Disabilities Act, if you need special assistance to participate in this meeting, you should contact the office of the City Clerk at (949) 707-2635. Notification 48 hours prior to the meeting will enable the City to make reasonable arrangements to assure accessibility to this meeting.

Materials related to an item on this Agenda submitted to the City Council after distribution of the agenda packet are available for public inspection in the City Clerk's Office at 24035 El Toro Road, Laguna Hills, California, during normal business hours.



City of Laguna Hills

City Council/Planning Agency

Staff Report

DATE: October 14, 2021
TO: Mayor and Council Members
FROM: Kenneth H. Rosenfield P.E.
Interim City Manager/Director of Public Services
ISSUE: Review and Discussion of City Council Vacancy Applications Received

RECOMMENDATION: That the City Council review and discuss the applications received for the City Council Vacancy and make selections of qualified candidates to be interviewed by the City Council at a future date(s).

SUMMARY:

The City Council has a vacancy, an “open seat”, as created by the resignation of Council Member Bill Hunt with an effective date of September 14, 2021. The City Council, at the meeting of September 28, 2021, directed an application process be followed with the intended purpose to result in the appointment of a new City Council member to fill the open seat of the City Council. The City Council further directed the application process be available to the public from September 30, 2021, through October 13, 2021, to be followed by an evaluation of the candidate applications at a special meeting of the City Council on October 14, 2021; resulting in the selection of candidates for interviews, with said interviews to be conducted at a special meeting of the City Council on October 19, 2021. As of 5:00 p.m. on October 13, 2021, four candidate applications to fill the open seat of the City Council have been received and are attached to this report as a combined Attachment B. The City Council is requested to review the candidate applications, identify the candidates to be interviewed, confirm the date and time for conducting the interviews and establish the protocols for the interviews.

BACKGROUND:

On September 9, 2021, Council Member Hunt submitted a letter of resignation to the

Review and Discussion of City Council Vacancy Applications Received

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City Clerk which made effective his resignation from the City Council on September 14, 2021. As a result, the City Council must address the issue of filling this vacancy, an open seat on the City Council. Government Code Section 36512(b) requires the City Council to take action within 60 days from the commencement of the vacancy and sets forth the options the City Council may choose to fill the vacancy. Under State law, the City Council has until November 13, 2021, to choose to either make an appointment or call for a special election to fill the open seat. The previous Staff Reports on this matter for September 28, 2021, and September 14, 2021, are attached to this report as a combined Attachment A.

The City Council, at the meeting of September 28, 2021, directed an application process be followed to result in the appointment of a new member to fill the open seat of the City Council. The application was made available to the public from September 30, 2021, to 5:00 pm, October 13, 2021. The City Council further established that an evaluation of the candidate applications would occur at a special meeting of the City Council on October 14, 2021; resulting in the selection of candidates for interviews, with said interviews to be conducted at a special meeting of the City Council to be scheduled for October 19, 2021.

Included within the above-mentioned September 28 Staff Report was a table entitled, Recommended Schedule for Application & Interview Process, (hereinafter the Table), which provided a list of actions and dates to address this matter. The City Council, at the September 28, 2021, meeting, modified the schedule of the Table, on a 3-1 vote, as is presented below.

RECOMMENDED SCHEDULE FOR APPLICATION & INTERVIEW PROCESS	
Public Notice Period - Notice of Application Period Sent to Newspaper and Released to Public on Website/Social Media	September 30, 2021
Application Period Open	September 30, 2021 October 13, 2021
City Council to Review and Discuss Applications Received; and Selection of Qualified Candidates to be Interviewed by the City Council at a Future Date(s)	October 14, 2021 (Special Meeting)
City Council to Commence Interviews of Selected Candidates	October 19, 2021 (Special Meeting)

Review and Discussion of City Council Vacancy Applications Received

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City Council Interviews (continued)	TBD
Discussion and Appointment by City Council	TBD

As of 5:00 p.m. on October 13, 2021, four candidate applications to fill the open seat of the City Council have been received and are attached to this report. The City Council is requested to review the candidate applications, identify the candidates to be interviewed, determine the number of candidates to interview, confirm the date and time for conducting the interviews and establish the protocols for the interviews.

Interview Date and Times

The City Council previously identified October 19, 2021, for the date of interviews of City Council candidates. It has come to our attention, however, that two City Council members may not be able to participate in a meeting on that date. And, Staff is not available to support a meeting on other dates the week of October 18th. The City Council is encouraged to reschedule the date for a special meeting for the purpose of conducting interviews of City Council candidates to another date, such as Monday, October 25, 2021, or Thursday, October 28, 2021, beginning at 5:00 p.m. Time is of the essence in this process which must be concluded by November 13, 2021.

Interview Time Allocations

Following the identification of the number of City Council candidates to be interviewed, the City Council is encouraged to set a length of time for each interview. It is suggested that a minimum of 30 minutes be allocated for each interview, which would allow for 25 minutes of interaction with each candidate; allocating five (5) minutes to finish notetaking and to prepare for the next candidate interview.

Interview Questions

The City Council is encouraged to establish a set of initial questions to be asked of each candidate. Upon completion of these questions, depending upon the information in each application and the candidate answers, additional candidate specific questions can be asked. The initial inquiries to be asked are suggested to be as follows:

1. What is your interest in being appointed to the City Council?
2. What is your education, training and experience that you believe prepare you as

qualified to serve on the City Council?

3. Can you identify up to three matters in the City that you believe are being properly managed and are satisfactory to the Community?
4. Can you identify up to three matters in the City that you believe need improvement and are not satisfactory to the Community?
5. Can you express your long-term goals for the City?
6. What is your familiarity with the City General Plan and Budget?
7. Why are you the best candidate for this position?

Interview Procedures

It is recommended the City Council establish 1) the distribution of questions amongst City Council members to ask of each candidate, 2) the order of the candidates to be interviewed (such as alphabetical by last name) and 3) the evaluation approach desired, leading to a deliberation and selection of the best qualified City Council candidate.

Candidate Applications

As of the time and date due, 5:00 p.m., October 13, 2021, four candidate applications were received from community members by the office of the City Clerk as follows:

Mr. Don Caskey, 27182 Hidden Trail Road, Laguna Hills, CA 92653

Mr. Mark Jones, 13 Tierra Vista, Laguna Hills, CA 92653

Mrs. Chris Mackey, 25942 La Cuesta Avenue, Laguna Hills, CA 92653

Mr. Mark Schaff, 26001 Anacapa Street, Laguna Hills, CA 92653

Please see the attached candidate applications for detailed information.

ATTACHMENTS:

- Attachment A - September 28 and September 14, 2021, Report - Council Vacancy Procedures
- Attachment B - Candidate Applications Received



City of Laguna Hills

City Council/Planning Agency

Staff Report

DATE: September 28, 2021

TO: Mayor and Council Members

FROM: Melissa Au-Yeung
Deputy City Manager

ISSUE: Resignation of Council Member Hunt - Filling City Council Vacancy Pursuant to Government Code Section 36512

RECOMMENDATION: That the City Council take action by no later than November 13, 2021, to approve one of the following options to fill the vacancy in office created by Council Member Hunt's resignation:

1) Option 1. Appoint a qualified individual to fill the vacancy in office, either through a direct appointment or by following an application and interview process. If the Council fills the vacancy by appointment, the appointed person would serve on an interim basis until the November 2022 General Municipal Election and thereafter until the elected person has been qualified. The person elected to fill the vacancy at the November 2022 General Municipal Election would hold office for the unexpired balance of the term of office. Council Member Hunt was elected in November of 2020 for a four-year term that expires in November 2024. Therefore, following the completion of the appointed person's interim term, the person elected at the November 2022 General Municipal Election to fill the vacancy would serve until November 2024.

2) Option 2. Call for a special election. A special election must be held on the next regularly established election date not less than 114 days from the call of the special election, which is April 12, 2022. Calling for a special election requires the person elected to fill the vacancy to hold office for the remainder of the unexpired term. In this case, the person elected at the special election would hold office until November 2024.

SUMMARY:

Effective September 14, 2021, the Honorable Bill Hunt resigned his office as a City Council Member, creating a vacancy on the City Council. As a result, the City Council must address the issue of filling the vacancy in office created by Bill Hunt's recent resignation. Government Code Section 36512(b) requires the City Council take action within 60 days from the commencement of the vacancy and sets forth the options that the City Council may choose to fill the vacancy. Under State law, the City Council has until November 13, 2021 to choose to either make an appointment or call a special election, as discussed below. City staff initially brought a report to the City Council at its September 14, 2021, City Council meeting at which time, the City Council directed City staff to return with additional information regarding the interview and appointment process.

BACKGROUND:

On September 9, 2021, Council Member Hunt filed a letter of resignation with the City Clerk effectively resigning from office as City Council Member effective September 14, 2021. As a result, by operation of the terms of his letter of resignation, a vacancy has been created on the City Council. Consequently, City staff brought a report to the City Council at its September 14, 2021, City Council meeting discussing the alternatives for filling the vacancy created by Council Member Hunt's resignation. For reference, the September 14, 2021, staff report and attachments have been included as **Attachment A** to this report. At that meeting, the City Council directed City staff to return to the City Council at the September 28, 2021, regular meeting with additional information regarding the application and interview process for filling the vacancy by appointment.

As a brief recap, pursuant to Government Code Section 36512, if a vacancy occurs on a city council, the council shall, within 60 days from the commencement of the vacancy, either fill the vacancy by appointment or call for a special election to fill the vacancy. Under State law, the City Council's decision on which procedure the Council will use to fill the vacancy must be made by no later than November 13, 2021. Therefore, the City Council has two alternative courses of action to consider:

APPOINT A QUALIFIED INDIVIDUAL TO THE VACANT POSITION

The City Council may take action to appoint a qualified individual to fill the vacancy. If the Council selects the appointment procedure, the Council may choose to 1) establish

City Council Member Resignation-Filling City Council Vacancy
 September 28, 2021
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an application and interview process or 2) make a direct appointment of an individual to fill the vacant seat.

1. Filling the Vacancy Following an Interview and Application Process

Although not legally required, it is common for cities to conduct a formal application and interview process in connection with the filling of a city council vacancy by appointment. This process commonly includes the City noticing the vacancy and inviting applications from interested residents. For the Council's review, a recommended application is included with this staff report as **Attachment B**.

Should the Council decide to use the application and interview of qualified candidates process, interviews must be done at a regularly scheduled meeting and/or a special meeting of the City Council, as State law does not authorize closed session meetings for purposes of interviewing candidates or discussing city council vacancies.

Taking into account the statutory regulations as laid out in State law, while also accounting for the need to notice the application and interview process, City staff has developed a recommended schedule for the application and interview process as follows:

RECOMMENDED SCHEDULE FOR APPLICATION & INTERVIEW PROCESS	
Public Notice Period - Notice of Application Period Sent to Newspaper and Released to Public on Website/Social Media	September 30, 2021
Application Period Open	September 30, 2021 – October 20, 2021
City Council to Review and Discuss Applications Received; and Selection of Qualified Candidates to be Interviewed by the City Council at a Future Date(s)	October 21, 2021 (Special Meeting)
City Council to Commence Interviews of Selected Candidates	October 26, 2021 (Special Meeting at 5:00 p.m.)
City Council Interviews (continued)	October 28, 2021 (Special Meeting)
Discussion and Appointment by City Council	November 9, 2021

It should be noted that the recommended schedule could be further compressed than what is shown above in order to complete the process faster; however, it is not recommended the schedule be extended any longer or else the City Council may run the risk of not meeting the November 13, 2021, date. The recommended schedule does require two to three Special Meetings to complete the application and interview process. The City Council, at its discretion, may also choose to hold additional Special Meetings than those shown on the recommended schedule above. As mentioned earlier, State law requires that the City Council fill the vacancy by appointment or call for a special election no later than November 13, 2021.

2. Filling the Vacancy by Direct Appointment

The City Council may also, at its discretion, forgo the application and interview process altogether and make a direct appointment to fill the vacancy. While a direct appointment is not commonly used as a method of filling a City Council vacancy, the City Council has the option to proceed in this manner and directly appoint a qualified individual to the vacant office after deliberation and a majority vote. If this approach is taken, it is suggested that each Council member be encouraged to offer one to two qualified candidates, along with a written statement of their qualifications, for a vote of the entire City Council.

Term of Appointment.

In the event the City Council decides to proceed by way of appointment, the person appointed to fill the vacancy would hold office on an interim basis only, until the next General Municipal Election, which is scheduled for November 8, 2022. The person elected to fill the vacancy at the November 2022 election will hold office for the remaining two years of Council Member Hunt's term of office, until November 2024.

CALL FOR THE FILLING OF THE VACANCY BY SPECIAL ELECTION

If the City Council decides not to fill the vacancy by appointment, then the Council must call a Special Election. Government Code Section 36512(b) provides that in the event a special election is called to fill a vacancy, the special election shall be held on the next regularly established election date not less than 114 days from the call of the special election. In this particular case, the special election must be held on April 12, 2022.

If the City holds a special election, the person elected to fill the vacancy will serve for the remainder of the unexpired term of Council Member Hunt's term of office, until November 2024.

FISCAL IMPACT:

Option 1: Utilizing an appointment process to fill a vacancy on the City Council on an interim basis would not result in any increased fiscal impact beyond staff time and associated legal review.

Option 2: If the City Council calls for a special election to be held on April 12, 2022, the anticipated cost of calling and conducting an election, as estimated by the Orange County Registrar of Voters, is \$141,252 - \$163,398.

ATTACHMENTS:

- Attachment A - September 14, 2021 City Council Meeting Staff Report and Attachments
- Attachment B - City Council Vacancy Application



City of Laguna Hills

City Council/Planning Agency

Staff Report

DATE: September 14, 2021
TO: Mayor and Council Members
FROM: Melissa Au-Yeung
Deputy City Manager
ISSUE: Resignation of Council Member Hunt - Discussion of Filling City Council
Vacancy Pursuant to Government Code Section 36512

RECOMMENDATION: That the City Council take action by no later than November 13, 2021, to approve one of the following options to fill the vacancy in office created by Council Member Hunt's resignation:

1) Option 1. Appoint a qualified individual to fill the vacancy in office, either through a direct appointment or by following an application and interview process. If the Council fills the vacancy by appointment, the appointed person would serve on an interim basis until the November 2022 General Municipal Election and thereafter until the elected person has been qualified. The person elected to fill the vacancy at the November 2022 General Municipal Election would hold office for the unexpired balance of the term of office. Council Member Hunt was elected in November of 2020 for a four-year term that expires in November 2024. Therefore, following the completion of the appointed person's interim term, the person elected at the November 2022 General Municipal Election to fill the vacancy would serve until November 2024.

2) Option 2. Call for a special election. A special election must be held on the next regularly established election date not less than 114 days from the call of the special election, which is April 12, 2022. Calling for a special election requires the person elected to fill the vacancy to hold office for the remainder of the unexpired term. In this case, the person elected at the special election would hold office until November 2024.

City Council Member Resignation Discussion of Filling Council Vacancy

September 14, 2021

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SUMMARY:

Effective September 14, 2021, the Honorable Bill Hunt will resign his position as a City Council Member. As a result, the City Council must address the issue of filling the vacancy. Government Code Section 36512(b) requires the City Council to take action within 60 days from the commencement of the vacancy and sets forth the options that the City Council may choose to fill the vacancy. Under State law, the City Council has until November 13, 2021 to choose to either make an appointment or call for a special election, as discussed below.

On September 9, 2021, Council Member Hunt submitted a letter of resignation to the City Clerk,¹ which makes effective his resignation from the City Council on September 14, 2021. A copy of Council Member Hunt's September 9, 2021 letter of resignation to the City Clerk is provided as Attachment "A". An effective resignation creates a vacancy. The vacancy commences on the effective date of the resignation. There is no legal requirement for the City Council to take action to accept the resignation.

As a result, by operation of the terms of the letter submitted by Council Member Hunt to the City Clerk, his resignation is effective on September 14, 2021, creating a vacancy in his seat on the City Council. This announcement requires the City Council to consider the alternatives for filling the vacancy created by Council Member Hunt's resignation.

Under State law, if a vacancy occurs on a city council, the council shall, within 60 days from the commencement of the vacancy, either fill the vacancy by appointment or call for a special election to fill the vacancy. The City Council's decision on which procedure the Council will use to fill the vacancy must be made by no later than November 13, 2021, according to the statutory deadline. Therefore, Staff recommends that the City Council take action by no later than November 13, 2021, to approve the use of one of the following options to fill the vacancy: 1) fill the vacancy by appointment, either through a direct appointment or by following an application and interview process, or 2) call for a special election

BACKGROUND:

Government Code Section 36512 governs the filling of council vacancies for general law cities. Pursuant to Government Code Section 36512, if a vacancy occurs on a city

¹ Government Code Sections 1770(c) and 1750(f).

council, the council shall, within 60 days from the commencement of the vacancy, either fill the vacancy by appointment or call for a special election to fill the vacancy. Therefore, the City Council has two alternative courses of action to consider:

1. Appoint a Qualified Individual to the Vacant Office, Either Through Direct Appointment or by Following an Application and Interview Process.

a. Filling Vacancy by Appointment.

The City Council may take action to appoint a qualified individual to fill the vacancy. If the Council selects the appointment procedure, the Council may choose to a) make a direct appointment of an individual to the vacancy, or b) may wish to consider establishing an application and interview process. Although not legally required, it is common for cities to conduct an application and interview process in connection with the filling of a city council vacancy by appointment. There is no legal requirement that the Council use any particular method of selection for making the appointment. The Council does not, for example, have any obligation to appoint someone who ran in the last election; however, that is not precluded. It is common in such situations, however, for a council to notice the vacancy and to invite applications from interested residents.

If the Council decides to conduct interviews of qualified candidates following the completion of an application process, it must be done at a noticed public meeting. The appointment must be made during either a regularly scheduled meeting or a special meeting of the City Council. State law does not authorize closed session meetings for purposes of interviewing candidates or discussing city council vacancies. The decision to appoint must be made by, and no later than, November 13, 2021. Any person appointed to fill a vacancy possesses all of the rights and powers and is subject to all of the liabilities, duties, and obligations of the officer whose vacancy he or she fills.²

b. Term of Appointment.

In the event the City Council decides to proceed by way of appointment, the person appointed to fill the vacancy would hold office on an interim basis only, until the next General Municipal Election, which is scheduled for November 8, 2022. The person elected to fill the vacancy at the November 2022 election will hold office for the remaining two years of Council Member Hunt's term of office, until November 2024.

² Government Code Section 1777.

City Council Member Resignation Discussion of Filling Council Vacancy

September 14, 2021

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Council Member Hunt was first elected as a Member of the City Council in 2020 for a four-year term. As such, Council Member Hunt is still serving in the first half of his term of office. Under State law, if a city council decides to fill a vacancy by appointment for a council member who is serving in the first half of the term of office, the person appointed to fill the vacancy holds office until the next General Municipal Election is completed and the person elected to fill the vacancy has been qualified. The person elected to fill the vacancy holds office for the unexpired balance of the term.

Should the City Council appoint someone to fill Council Member Hunt's seat, the person appointed will serve on the City Council until the November 2022 General Municipal Election. Thereafter, the person elected to fill the vacancy will hold office until November 2024.

c. Appointment Process.

If the Council proceeds by way of a direct appointment, it may choose to simply appoint a qualified individual to the vacant office after deliberation and a majority vote³. Alternatively, the Council may wish to consider establishing a formal application and interview process, which may include addressing such issues as the following: a) public notice inviting applications for appointment to the Council; b) development of an application process, criteria for qualified candidates, and schedule, along with whether candidates should be required to complete and submit an application packet including a City application form, a questionnaire, and a California Fair Political Practices Commission Form 700, Statement of Economic Interests; and c) development of an interview process and schedule, including development of a set of questions for the Council to ask qualified candidates during scheduled interviews conducted at noticed public meetings. Again, there is no legal requirement that the Council use any particular method of selection; however, Staff does recommend that with either alternative, an established process should be followed.

2. Call for the Filling of a Vacancy by Special Election.

If the City Council decides not to fill the vacancy by appointment, then the Council must call for a special election to be held on April 12, 2022. Government Code Section 36512(b) provides that in the event a special election is called to fill a vacancy, the special election shall be held on the next regularly established election date not less

³ A person is not eligible to hold office as a city council member unless he or she is an elector of the City of Laguna Hills and a registered voter of the City.

than 114 days from the call of the special election.

The Elections Code provides established election dates on which an election can be lawfully held. Section 1002 of the Elections Code states, "Except as provided in Section 1003, notwithstanding any other provisions of law, all state, county, municipal ... elections shall be held on an established election date." Elections Code Section 1000 sets forth the established election dates, which are:

- (a) The first Tuesday after the first Monday in March of each even-numbered year that is evenly divisible by four.
- (b) The first Tuesday after the first Monday in March of each odd-numbered year.
- (c) The second Tuesday of April in each even-numbered year.***
- (d) The first Tuesday after the first Monday in June in each even-numbered year that is not evenly divisible by four.
- (e) The first Tuesday after the first Monday in November of each year.

The following statewide elections set forth in Elections Code Section 1001 would also be considered established election dates.

- (a) An election held in November of an even-numbered year.
- (b) An election held in June of an even-numbered year that is not evenly divisible by four and in March of each even numbered year that is evenly divisible by four.

Because 2022 is an even number that is not evenly divisible by four, the available established election dates are April 12, 2022; June 7, 2022; and November 8, 2022. State law requires the City Council to hold a special election to fill a vacancy on the next regularly established election date, which, in this instance, is April 12, 2022. If the City holds a special election, the person elected to fill the vacancy is only permitted to serve for the remainder of the unexpired term of Council Member Hunt's term of office, until November 2024. An all mail-in ballot special election may be an option; however, as a practical matter that does not appear to be a conventional approach to filling a council vacancy and additional research would be required.

3. Alternatives.

The City Council may receive and file this report and postpone the decision on which

City Council Member Resignation Discussion of Filling Council Vacancy
September 14, 2021
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option to choose and continue this item to a future meeting or continue the item and direct staff to return with additional information. The City Council has 60 days from the effective date of the resignation, which is November 13, 2021, to either 1) fill the vacancy on an interim basis with an appointment, or 2) call for a special election.

FISCAL IMPACT:

Option 1: An appointment process to fill a vacancy on the City Council on an interim basis would not result in any increased fiscal impact beyond assigned staff time and associated legal review.

Option 2: If the City Council calls for a special election to be held on April 12, 2022, the anticipated cost of calling and conducting an election is \$141,252 - \$163,398.

ATTACHMENTS:

- Attachment A - Council Member Hunt's September 9, 2021 Letter of Resignation

Bill Hunt
City Council Member, City of Laguna Hills
25292 Sheriff Rd., Laguna Hills, CA 92653

Melissa Au-Yeung, City Clerk
Honorable Mayor & Members of the City Council
City of Laguna Hills
24035 El Toro Road
Laguna Hills, California 92653

September 9, 2021

Mayor Erica Pezold and Members of the Laguna Hills City Council,

Effective September 14, 2021, I hereby resign from the Laguna Hills City Council. I have been truly blessed to have served Laguna Hills as a Parks and Receptions Commissioner and for the short time I was able to serve on the City Council. The Bible teaches us, "To every *thing* there is a season, and a time to every purpose under the heaven". Over the last few months numerous factors in my personal life have led my wife Debbie and I to decide it is time for us to move from California and on to the next chapter in our lives. I have been truly blessed to live in this state since 1984, and in Laguna Hills since 1992. I met and married the love of my life here, raised our four children here in this city, and served Orange County for over two decades as an Orange County Deputy Sheriff.

All of my interactions with the city staff, going back for more than 20 years, has been nothing short of professional. I commend them all for their dedication and commitment, and thank them for their service to this city.

Finally I want to thank my fellow council members for their leadership, patience and support. I leave knowing the city of Laguna Hills is in good hands. My fellow council members are truly committed to the best possible future for this city and will fight hard to ensure a bright future for Laguna Hills. Our prayers will be with you as we move on to the next chapter of our lives, and I ask for your prayers, as well.

May God Bless you, Laguna Hills, and America!

Respectfully Submitted,



Bill Hunt

CC: Ken Rosenfield, Interim City Manager
Greg Simonian, City Attorney



**CITY OF LAGUNA HILLS
APPLICATION FOR CITY COUNCIL APPOINTMENT
CITY COUNCIL VACANCY**

INSTRUCTIONS: Complete this application and return it to the City Clerk's Department, 24035 El Toro Road, Laguna Hills, CA 92653. If you have any questions, please contact the City Clerk's Office at (949)707-2630.

Please note, late filed applications will NOT be accepted.

APPLICATIONS ARE DUE BY WEDNESDAY, OCTOBER 20, 2021 AT 5:00 P.M.

First Name: _____ Last Name: _____
 Home Address: _____
 Home Phone: (____) _____ Cell Phone: (____) _____
 Email: _____ Business Phone: (____) _____
 Occupation: _____ Business Name: _____
 Business Address: _____

Please submit a Personal Statement of Interest/Qualifications with the completed application.

How long have you lived in the City of Laguna Hills? _____

Please describe your Professional and Educational background (attach Resume, if desired): _____

Please list any Licenses or Special Certificates held: _____

Please list any Commissions and/or Boards on which you have served:

 _____ Year(s) served: _____
 _____ Year(s) served: _____

Please list organizations to which you belong (professional, technical, community, service, etc.):

Why do you wish to serve the City? What qualifications/experience would you bring to the Council?

In your opinion, what are the most important issues facing the City of Laguna Hills? _____

What vision do you have for the future of the City of Laguna Hills? _____

References:

1. _____

Name	Address	Phone
------	---------	-------
2. _____

Name	Address	Phone
------	---------	-------
3. _____

Name	Address	Phone
------	---------	-------

ELIGIBILITY REQUIREMENTS: To qualify as a member of the City Council you must be: a) 18 years of age or older; b) a resident of the City of Laguna Hills; and c) a registered voter.

Are you able to attend City Council meetings on the 2nd and 4th Tuesdays of each month? YES _____ NO _____

Are you able to attend Special Meetings of the City Council, as necessary? YES _____ NO _____

Are you a registered voter? YES _____ NO _____

Are you willing to complete and file the State of California, Fair Political Practices Commission Form 700, Statement of Economic Interests, which disclosure form and instructions are available for downloading at <https://www.fppc.ca.gov/Form700.html>. YES _____ NO _____

(If the answer is NO to any of the above, please explain): _____

"I hereby declare under penalty of perjury pursuant to the laws of the State of California that the foregoing facts, statements and all information submitted as part of this application are true and correct."

Signature: _____ Date: _____

Return to: City Clerk's Department, City of Laguna Hills, 24035 El Toro Road, Laguna Hills, CA 92653

Telephone: (949) 707-2630

Fax: (949) 707-2614

www.lagunahillscagov



**CITY OF LAGUNA HILLS
APPLICATION FOR CITY COUNCIL APPOINTMENT
CITY COUNCIL VACANCY**

INSTRUCTIONS: Complete this application and return it to the City Clerk's Department, 24035 El Toro Road, Laguna Hills, CA 92653. If you have any questions, please contact the City Clerk's Office at (949)707-2630.

Please note, late filed applications will NOT be accepted.

APPLICATIONS ARE DUE BY WEDNESDAY, OCTOBER 13, 2021 AT 5:00 P.M.

First Name: MARK Last Name: JONES
 Home Address: 13 TIERRA VISTA LAGUNA HILLS, CA
 Home Phone: () Cell Phone: ()
 Email: Business Phone: ()
 Occupation: BUSINESS DEVELOPMENT MGR Business Name: EPSON AMERICA
 Business Address: 3131 KATELLA AVE LOS ALAMITOS, CA

Please submit the following with the completed application:

- a. A Personal Statement of Interest/Qualifications
- b. A completed State of California, Fair Political Practices Commission (FPPC) Form 700, Statement of Economic Interests, which disclosure form and instructions are available for downloading at <https://www.fppc.ca.gov/Form700.html>.

How long have you lived in the City of Laguna Hills? 32 YEARS

Please describe your Professional and Educational background (attach Resume, if desired):
PLEASE SEE ATTACHED RESUME

Please list any Licenses or Special Certificates held: CALIFORNIA REAL ESTATE AGENT

Please list any Commissions and/or Boards on which you have served:
PARK AND RECREATION COMMISSION Year(s) served: 2004-2013
TRAFFIC COMMISSION Year(s) served: 2015-2019

Please list organizations to which you belong (professional, technical, community, service, etc.):

AYSO SOCCER REGISTRATION 10 YEARS
SILVER CLUB

Why do you wish to serve the City? What qualifications/experience would you bring to the Council?

PLEASE SEE CANDIDATE STATEMENT

In your opinion, what are the most important issues facing the City of Laguna Hills?

PLEASE SEE CANDIDATE STATEMENT

What vision do you have for the future of the City of Laguna Hills?

PLEASE SEE CANDIDATE STATEMENT

References:

- | | | | |
|----|---------------------|---------------|-------|
| 1. | JOEL LAUTENSCHLAGER | LAGUNA HILLS | |
| | Name | Address | Phone |
| 2. | BEN TIBOLLO | LAGUNA WIGWAG | |
| | Name | Address | Phone |
| 3. | DARRIAN WHITE | SUNNY VALLE | |
| | Name | Address | Phone |

ELIGIBILITY REQUIREMENTS: To qualify as a member of the City Council you must be: a) 18 years of age or older; b) a resident of the City of Laguna Hills; and c) a registered voter.

Are you able to attend City Council meetings on the 2nd and 4th Tuesdays of each month? YES ☒ NO ☐

Are you able to attend Special Meetings of the City Council, as necessary? YES ☒ NO ☐

Are you a registered voter? YES ☒ NO ☐

Have you attached a completed State of California, Fair Political Practices Commission (FPPC) YES ☒ NO ☐

Form 700, Statement of Economic Interests, which disclosure form and instructions are available for downloading at <https://www.fppc.ca.gov/Form700.html>.

(If the answer is NO to any of the above, please explain):

"I hereby declare under penalty of perjury pursuant to the laws of the State of California that the foregoing facts, statements and all information submitted as part of this application are true and correct."

Signature: Mark Jones

Date: 10/4/21

Return to: City Clerk's Department, City of Laguna Hills, 24035 El Toro Road, Laguna Hills, CA 92653

Telephone: (949) 707-2630

Fax: (949) 707-2614

www.lagunahillscagov

Mark Jones Candidate Statement

Motivation

"Government is not reason; it is not eloquence, it is force. Like fire it is a dangerous servant and a fearful master." These words, stated by our first President George Washington, are why I would like to volunteer for Laguna Hills City Council. It is crucial that grassroots oversight of our governing institutions be conducted to maintain the trust and support of Laguna Hills citizens.

While enjoying the benefits of living in the great city of Laguna Hills, it is important to pay back these benefits through volunteer efforts.

Moving to Laguna Hills in 1989, my wife and I have raised our two children in the Saddleback Unified School District attending Valencia Elementary, La Paz Middle School and Laguna Hills High School.

Qualification

I have served the city as a Laguna Hills Commissioner for 12 years. First, as a Park and Recreation Commissioner from 2000 to 2008 and then serving as a Traffic Commissioner from 2014 to 2019.

I have over 40 years of business management experience including sales management, price and contract negotiations, budgeting, and forecasting. Additionally, I have negotiated with the largest global technology companies including Apple, Cisco, Oracle, Amazon, and Google.

Also, I understand the local real estate market as a Realtor since 2014.

Key Issues

My priorities for the key issues are SAFETY and ECONOMIC DEVELOPMENT:

SAFETY

With the State of California changes to prison reform and the need to address the homeless, additional safety resources will be required to maintain the vigilance of our first responders. I will assure Laguna Hills will increase staffing levels to maintain the

Mark Jones Candidate Statement

Vision

My vision for the future of Laguna Hills is as follows:

Vibrant “downtown” area at Village at Laguna Hills
Continued emphasis on safety and community policing
Increased green space, trails and senior activities at parks
Continued partnership with Saddleback School District to improve our award-winning schools.

MARK R. JONES

Laguna Hills, CA. 92653 •

• mjon509@gmail.com

Marketing and business development executive with history generating multimillion-dollar revenue and market share growth within untapped and existing worldwide technology markets. Position company as key player within highly competitive and constantly evolving industry, spearheading high-impact strategic analysis and planning. Win clients away from major contenders, penetrating and personally managing most difficult and critical accounts. Build and lead world-class teams and tactical global networks.

Strategic Analysis & Planning

Competitive Positioning

P & L Responsibility and Budgeting

Contract Negotiations

Storage; Hard Drive and Solid State Drive

Business & Market Share Development

Key Account Acquisition & Management

Channel Management

Worldwide Team Building

Security Software

Supermicro, San Jose, CA. – 2018 to 2020**Sales Manager**

Business development role for Global Manufacturer of IT Infrastructure Products including Servers, Storage Systems, IOT/Edge Compute Products and Systems Software. Responsible for qualifying and closing new accounts with Tier 1 OEMs, Contract Manufacturers and Fortune 500 Organizations.

- Focus on positioning Supermicro in IT markets by developing new customer base in Southwest US territory and increasing sourcing targets.
- Transition new accounts to Account Management Team as business develops.
- Continue to develop new strategic vertical markets as technologies mature.

Sourceability, Irvine, CA. – 2016 to 2018**Senior Sales Executive/Consultant**

"Hunter" Business development role for Global Independent Distributor of ICs, DRAM and NAND Memory and Storage Products. Responsible for qualifying and closing new accounts with Tier 1 OEMs Contract Manufacturers and Strategic Cloud Accounts.

- Focus on positioning Sourceability in competitive storage markets by developing new customer base and increasing sourcing targets.
- Improved Sourceability's visibility in key accounts within the first three months of start date.
- Closed new Memory Module opportunity with Strategic Storage Manufacturer and the associated Contract Manufacturer with significant revenue and gross margin impact.

HomeSmart/Evergreen Realty, Irvine, CA. • 2016 to 2018**Coldwell Banker Residential Real Estate, Laguna Niguel, CA. • 2014 to 2016****Realtor**

Represents Buyers and Sellers of Residential and Multi-Unit Investment properties in the Southern California market. Conducts macro and micro market analysis for clients. Negotiates pricing, terms and conditions of real estate sales. Works with a team of real estate professionals to market, finance and close residential and investment transactions.

TOSHIBA AMERICA Electronic Components, Irvine, CA. • 2009 – 2014**Director National OEM Alliances**

Design and launch strategic plans to drive global sales and profits in selected international OEM markets. Build relationships in collaborating with internal client organizations. Lead a worldwide team that includes account executives and sales directors.

- Developed strategic OEM customer base for HDD and SSD product families.
- Spearheaded strategic initiative to identify and capture cloud infrastructure and content delivery network (CDN) emerging customers that include Google, Amazon, Microsoft, Yahoo, Facebook and Rackspace.
- Instrumental in building relationships and capitalizing on opportunities to increase annual business run rate to over \$150M with key customers that include NetApp, Oracle, SuperMicro, Cisco, Imation and Juniper Networks.

FUJITSU COMPUTER PRODUCTS OF AMERICA, Sunnyvale, CA. • 1998 – 2009**Senior Director – NOEM Storage Products**

Formulate and execute strategic plans to increase worldwide OEM sales and profit margins for \$50B international computer and IT organization. Identify new market segments and business opportunities. Research and define target demographics. Initiate and cultivate relationships with internal client organizations such as Commodity Management, Engineering Management, Procurement, Legal, Manufacturing, Quality, and Service divisions. Oversee account executives, sales directors, and ~20 cross-functional personnel in global locations.

- Pioneered highly successful high-margin business from scratch to strengthen support of worldwide strategic customers: NOEM Storage Products,
- Built high-performance OEM (NOEM) group, and global sales and technical networks in US, Europe, and Asia.
- Led NOEM group and channel partners to become highest margin group within Storage Products.
- Grew sales from \$0 to \$81M in 3 years.
- Maximized market exposure, expanding client base, and closing major accounts such as Gateway, Cisco, Network Appliance, Xyratex, Sony, Apple, Sun Microsystems, Bose, Intel, and DataDirect Networks.
- Played key role in increasing company's market share in Storage channel to #2, creating Channel Development Group to support distribution sales.

EDUCATION

Master of Business Administration in Marketing
Case Western Reserve University, Cleveland, Ohio

Bachelor of Business Administration in Marketing (Cum Laude)
Kent State University, Kent, Ohio

**STATEMENT OF ECONOMIC INTERESTS
 COVER PAGE
 A PUBLIC DOCUMENT**

 Date Initial Filing Received _____
 Filing Official Use Only

Please type or print in ink.

NAME OF FILER (LAST)	(FIRST)	(MIDDLE)
Jones	Mark	R

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

City of Laguna Hills

Division, Board, Department, District, if applicable

City Council

Your Position

City Council

▶ If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)
☐ State

☐ Judge, Retired Judge, Pro Tem Judge, or Court Commissioner
 (Statewide Jurisdiction)

☐ Multi-County _____

☐ County of _____

☒ City of Laguna Hills

☐ Other _____

3. Type of Statement (Check at least one box)
☒ **Annual:** The period covered is January 1, 2020, through
 December 31, 2020.

☐ **Leaving Office:** Date Left ____/____/____
 (Check one circle.)

-or-

 The period covered is ____/____/____, through
 December 31, 2020.

☐ The period covered is January 1, 2020, through the date of
 leaving office.

-or-

☐ **Assuming Office:** Date assumed ____/____/____

☐ The period covered is ____/____/____, through
 the date of leaving office.

☐ **Candidate:** Date of Election _____ and office sought, if different than Part 1: _____

4. Schedule Summary (must complete) ▶ Total number of pages including this cover page: 3
Schedules attached
☐ **Schedule A-1 - Investments** - schedule attached

☐ **Schedule C - Income, Loans, & Business Positions** - schedule attached

☒ **Schedule A-2 - Investments** - schedule attached

☐ **Schedule D - Income - Gifts** - schedule attached

☒ **Schedule B - Real Property** - schedule attached

☐ **Schedule E - Income - Gifts - Travel Payments** - schedule attached

 -or- ☐ **None - No reportable interests on any schedule**
5. Verification

 MAILING ADDRESS STREET
 (Business or Agency Address Recommended - Public Document)

13 Tierra Vista

CITY

Laguna Hills

STATE

CA

ZIP CODE

92653

DAYTIME TELEPHONE NUMBER

EMAIL ADDRESS

mjon509@gmail.com

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 10/05/2021

(month, day, year)

Signature

(File the originally signed paper statement with your filing official.)

Print

Clear

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**
(Ownership Interest is Less Than 10%)*Investments must be itemized.**Do not attach brokerage or financial statements.***CALIFORNIA FORM 700**
FAIR POLITICAL PRACTICES COMMISSION

Name

Mark R Jones

▶ NAME OF BUSINESS ENTITY

John Hancock

GENERAL DESCRIPTION OF THIS BUSINESS

Brokerage - 401K

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☐ Stock ☒ Other Mutual Funds
(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

Wells Fargo

GENERAL DESCRIPTION OF THIS BUSINESS

Bank

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☐ Stock ☒ Other Checking
(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☐ Stock ☐ Other _____
(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

Charles Schwab

GENERAL DESCRIPTION OF THIS BUSINESS

Brokerage

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☐ Stock ☒ Other ETF
(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☐ Stock ☐ Other _____
(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☐ Stock ☐ Other _____
(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

____/____/20 ____/____/20
 ACQUIRED DISPOSED

Comments: _____

Print

Clear

SCHEDULE A-2

Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name <u>Mark R Jones</u>

1. BUSINESS ENTITY OR TRUSTRudolph and Mary Jones Trust

Name

Ashtabula, OH

Address (Business Address Acceptable)

Check one

☒ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS**FAIR MARKET VALUE**

- ☐ \$0 - \$1,999
☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

_____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

NATURE OF INVESTMENT

☐ Partnership ☐ Sole Proprietorship ☐ _____ Other

YOUR BUSINESS POSITION _____

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

- ☒ \$0 - \$499 ☐ \$10,001 - \$100,000
☐ \$500 - \$1,000 ☐ OVER \$100,000
☐ \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)
☐ None or ☐ Names listed below
4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:

☐ INVESTMENT ☒ REAL PROPERTY

 Name of Business Entity, if Investment, or
 Assessor's Parcel Number or Street Address of Real Property

 Description of Business Activity or
 City or Other Precise Location of Real Property
FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000
☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

_____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

NATURE OF INTEREST
☒ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership

☐ Leasehold _____ Yrs. remaining ☐ Other _____

☐ Check box if additional schedules reporting investments or real property are attached
Comments: 7 rental properties held in trust**1. BUSINESS ENTITY OR TRUST**

Name

Address (Business Address Acceptable)

Check one

☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS**FAIR MARKET VALUE**

- ☐ \$0 - \$1,999
☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

_____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

NATURE OF INVESTMENT

☐ Partnership ☐ Sole Proprietorship ☐ _____ Other

YOUR BUSINESS POSITION _____

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

- ☐ \$0 - \$499 ☐ \$10,001 - \$100,000
☐ \$500 - \$1,000 ☐ OVER \$100,000
☐ \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)
☐ None or ☐ Names listed below
4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:

☐ INVESTMENT ☐ REAL PROPERTY

 Name of Business Entity, if Investment, or
 Assessor's Parcel Number or Street Address of Real Property

 Description of Business Activity or
 City or Other Precise Location of Real Property
FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

_____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

NATURE OF INTEREST
☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership

☐ Leasehold _____ Yrs. remaining ☐ Other _____

☐ Check box if additional schedules reporting investments or real property are attached
Print**Clear**



**CITY OF LAGUNA HILLS
APPLICATION FOR CITY COUNCIL APPOINTMENT
CITY COUNCIL VACANCY**

INSTRUCTIONS: Complete this application and return it to the City Clerk's Department, 24035 El Toro Road, Laguna Hills, CA 92653. If you have any questions, please contact the City Clerk's Office at (949)707-2630.

Please note, late filed applications will NOT be accepted.

APPLICATIONS ARE DUE BY WEDNESDAY, OCTOBER 13, 2021 AT 5:00 P.M.

First Name: DONALD Last Name: CASKEY
Home Address: 27182 HIDDEN TRAIL ROAD, LAGUNA HILLS, CA 92653
Home Phone: _____ Cell Phone: _____
Email: DON@DONCASKEY.COM Business Phone: _____
Occupation: ARCHITECT/URBAN PLANNER Business Name: DONALD W. CASKEY, F.A.A.
Business Address: 27182 HIDDEN TRAIL ROAD, LAGUNA HILLS CA 92653

Please submit the following with the completed application:

- ✓ a. A Personal Statement of Interest/Qualifications
- ✓ b. A completed State of California, Fair Political Practices Commission (FPPC) Form 700, Statement of Economic Interests, which disclosure form and instructions are available for downloading at <https://www.fppc.ca.gov/Form700.html>.

How long have you lived in the City of Laguna Hills? 39 YEARS

Please describe your Professional and Educational background (attach Resume, if desired):

BACHELOR OF ARCHITECTURE, USC, 1972 (5 YEAR PROFESSIONAL DEGREE)
MASTER OF URBAN DESIGN, USC, 1973 (2 YEAR PROFESSIONAL DEGREE)
PLEASE REFER TO CURRICULUM VITAE/RESUME FOR DETAILS (ATTACHED)

Please list any Licenses or Special Certificates held: REGISTERED ARCHITECT - CALIFORNIA, NEVADA, ARIZONA, TEXAS, FLORIDA (SOME AS RETIRED STATUS)
DISASTER SERVICE PROFESSIONAL BY THE GOVERNOR'S OFFICE OF EMERGENCY SERVICES - ID # 70055.

Please list any Commissions and/or Boards on which you have served:

PARKS & RECREATION COMMISSION - CITY OF LAGUNA HILLS Year(s) served: 2021
ARCHITECTURE EDUCATION TASK FORCE - ALASKA Year(s) served: 2018 - 2021
ALA NATIONAL, CALIFORNIA & ORANGE COUNTY BOARDS 1983 - 2020

Please list organizations to which you belong (professional, technical, community, service, etc.):

AMERICAN INSTITUTE OF ARCHITECTS; ASSOCIATION OF UNIVERSITY ARCHITECTS
SOCIETY OF COLLEGE & UNIVERSITY PLANNING; ALA ACADEMY OF ARCHITECTURE
FOR HEALTH; JOHN S. BOLES, FELLOW, RICHARD R. JOHN, FELLOW, UG NATURAL RESER
MONO LAKE COMMITTEE, YOSEMITE CONSERVANCY, PACIFIC CREST TRAIL ASSN
ALA FOUNDATION, CALIFORNIA ARCHITECTURE FOUNDATION; NELLIE GAIL
EMERGENCY PREPAREDNESS COMMITTEE; SUNCREEK HOMEOWNERS ARCHITECT
COMMITTEE

Why do you wish to serve the City? What qualifications/experience would you bring to the Council?

I BRING A LONG CAREER OF QUALIFICATIONS AND EXPERIENCE THAT FITS WELL WITH THE CITY'S CURRENT NEEDS. I RECOGNIZE THAT THE TRUE DUTIES OF A COUNCIL MEMBER ARE TO BRING THAT EXPERIENCE WITHIN THE BOUNDARIES OF A BOXED TO ADVISE AND CONSENT. (PLEASE REFER TO STATEMENT OF INTEREST/QUALIFICATIONS)

In your opinion, what are the most important issues facing the City of Laguna Hills?

1.) MAINTAINING A VIABLE OPERATING BUDGET 2.) FULFILLING THE PROMISE & CONSTRUCTION OF THE VILLAGE AT LAGUNA HILLS 3.) PUBLIC SAFETY 4.) STEWARDSHIP OF OUR PARKS & RECREATION PROGRAMS. (PLEASE REFER TO STATEMENT OF INTEREST/QUALIFICATIONS)

What vision do you have for the future of the City of Laguna Hills?

REGAINING A HEALTHY FINANCIAL CONDITION FOR OUR CITY'S BUDGET AND OPERATING PLAN. BY DOING SO, WE CAN SUCCESSFULLY TAKE ON ANY OTHER CHALLENGES.

References:

1. SENATOR PAT BATES (36TH DISTRICT) 169 SAVORY RD, ENCINITAS, CA 92024

Name	Address	Phone
------	---------	-------

2. SUPERVISOR LISA BERTUCCI (5TH DISTRICT) 333 W. SANTA ANA BLVD, SANTA ANA 92701

Name	Address	Phone
------	---------	-------

3. DEBORAH WYLIE 16116 NORTHFIELD ST., PACIFIC PALISADES CA 90272

Name	Address	Phone
UNIVERSITY ARCHITECT (RET.), UNIVERSITY OF CALIFORNIA - OFFICE OF THE PRESIDENT		

ELIGIBILITY REQUIREMENTS: To qualify as a member of the City Council you must be: a) 18 years of age or older; b) a resident of the City of Laguna Hills; and c) a registered voter.

Are you able to attend City Council meetings on the 2nd and 4th Tuesdays of each month? YES X NO _____

Are you able to attend Special Meetings of the City Council, as necessary? YES X NO _____

Are you a registered voter? YES X NO _____

Have you attached a completed State of California, Fair Political Practices Commission (FPPC) Form 700, Statement of Economic Interests, which disclosure form and instructions are available for downloading at <https://www.fppc.ca.gov/Form700.html>. YES X NO _____

(If the answer is NO to any of the above, please explain): _____

"I hereby declare under penalty of perjury pursuant to the laws of the State of California that the foregoing facts, statements and all information submitted as part of this application are true and correct."

Signature: _____

Date: OCTOBER 12, 2021

Return to: City Clerk's Department, City of Laguna Hills, 24035 El Toro Road, Laguna Hills, CA 92653

Telephone: (949) 707-2630

Fax: (949) 707-2614

www.lagunahillscagov

Don W. Caskey, FAIA
Fellow, American Institute of Architects + Association of University Architects
 27182 Hidden Trail Road Laguna Hills CA 92653

October 12, 2021

The Honorable Erica Pezold, Mayor
 The Honorable Don Sedgwick, Mayor Pro Tem
 The Honorable Janine Heft, Council Member
 The Honorable Dave Wheeler, Council Member

City of Laguna Hills
 24035 El Toro Road
 Laguna Hills, CA 92653

Subject: Statement of Interest/Qualifications for Appointment to a position as a member of the City Council

Dear Mayor Pezold and Council Members Sedgwick, Heft, and Wheeler:

I wish to begin this Statement with my qualifications.

Qualifications:

As a licensed architect of 40+ years I engaged in large projects from universities to office buildings, large residential projects, hospitals, theme parks, retail centers, large public sector projects, airports and the Laguna Hills Civic Center. My resume/curriculum vitae (CV) was published for the University of California and is attached to this application. The total construction value of the projects I have been involved with in my career is well into the billions of dollars.

My education credentials include a Bachelor of Architecture in 1972 and Masters in Urban Design in 1973 from the University of Southern California.

Between early 2008 and late 2013 I had the responsibility of watching over the day-to-day administration of the 1,320 acre research campus of the University of California at Riverside (UCR) and the six UCR Natural Reserves spanning 17 square miles. My position was Associate Vice Chancellor and University Architect. From the beginning of that engagement, I had 400+ professionals and employees reporting to me. A campus of that size is virtually a city with over 24,000 undergraduate and graduate students, 1,100 faculty members, researchers and post-doctorates, and hundreds of employees maintaining every aspect of a large public university campus with over seven million square feet of buildings and facilities. UCR had a 40 person

police department that occasionally reported to me when the circumstances dictated.

My normal duties besides planning, design, and construction included oversight of the Facilities Department which employed over 300 people who were responsible for campus and building maintenance, deferred maintenance, energy services, landscape maintenance, housekeeping services, skilled trades, parking facilities, transportation services and hazardous materials maintenance. I was also responsible for emergency preparedness planning and the training/certification of nearly one-hundred first-responders as we were only twenty-five miles from the San Andreas fault. I was responsible for the creation of a five-year development plan for the campus as well as for a ten-year 'Vision Plan for UC Riverside' which I jointly presented to the Regents of the University of California with then Chancellor Timothy White. That vision plan was accepted with enthusiasm.

During my tenure we developed millions of square feet of facilities including housing, retail, a new student union, a new nine-acre recreation facility, many research and teaching facilities, a new track stadium, parking facilities with a new parking structure and an entire new School of Medicine. The investment in capital development totaled more than \$750,000,000. My 35+ member Office of Planning, Design, and Construction managed outside architects, engineers, and contractors to successfully fulfill this mission.

Why is this knowledge and experience important for a potential Laguna Hills City Council Member? A university campus of this size and stature represents nearly every aspect of a small city including fiscal responsibility; day-to-day administration; maintenance including buildings, streets, accessible pathways, parks, signage, drainage, landscaping; deferred maintenance; long and short-term planning including building and safety programs; capital development; public safety; sustainability; management; and vibrancy of events and community services. All of that within a balanced budget takes discipline, foresight and planning. I have the experience to help make a difference. A City Council's responsibility is not to directly manage those kinds of day-to-day operations for the City, but that kind of experience and knowledge certainly helps when assisting City Staff to evaluate priorities within a limited operating budget.

Prior to my time at UCR, I started my own architectural firm, Coleman/Caskey Architects at the age of 27. After 21 years, my successful Orange County firm was sold to Carter/Burgess, a national scale Architectural/Engineering firm from Texas. I had a new platform as a senior vice president from which to provide design services on very large projects nationwide. Seven years later Carter/Burgess was acquired by Jacobs Engineering, a world-wide enterprise with 50,000 employees. During my time with all three entities, I was an owner, partner and later senior vice president that was responsible for thousands of design projects over 30 years throughout the United States with public entities and Fortune 500 companies. I directly designed or had watchful oversight on my projects with the goal to deliver each development to supersede the client's expectations, on-time and on-budget.

On the professional side, I was a Board Member and President of the American Institute of

Architects (AIA) at the local Orange County level and have maintained membership for 45 years. I was President of the AIA for the State of California in 1993. I then went on to become a Board Member at the national level of the AIA, a prestigious group of leaders representing 90,000+ licensed architects throughout the country. I became a Fellow of the AIA in 1999, an accomplishment granted to less than 2% of architects in the USA. In January 2021, I finished a three-year term as California AIA Vice President of Education and Professional Development. I am currently the Chairman of the Architecture Education Task Force which is working with ten accredited Colleges/Universities of Architecture in California to reinvent architectural curriculum. My status of Emeritus in the AIA is a lifetime honor.

I am an active member of the Association of University Architects (AUA). This organization is comprised of Campus Architects from most of the universities and colleges in the United States and Canada. I am currently the Chairman of the Professional Practice Committee, and we are studying the impacts of COVID-19 on the operations of campuses including side-by-side comparisons of working from home vs. working from office. We are also addressing the supply-chain concerns.

Within my community, I dedicated substantial time to our youth in Laguna Hills in my early adult years as an AYSO soccer coach (5 years); a little league assistant coach (2 years); an assistant coach to the Cowboys Youth Football League; and as a backpacking guide for youth aged 13-18 in the Emigrant Wilderness (adjacent to Yosemite National Park), an eight-day backpacking trip teaching wilderness and life skills (10 years).

I am a two-year member of the Snowcreek V Homeowners Association's Architecture Committee in Mammoth Lakes, CA; a five-year member of the Nellie Gail Ranch Emergency Preparedness Committee of which I am a Disaster Service Professional designated by the Governor's Office of Emergency Services ID # 70055 in which I am authorized to evaluate and red-tag buildings in times of a disaster or emergency. I have been a member and President of two church councils over four decades. Lastly, I am currently a member of the Parks and Recreation Commission in the City of Laguna Hills.

Interest:

I am a facilitator, first and foremost. My background, experience and history exemplify my success and involvement as a person that gets things done for the benefit of all. The process I strive for the most is team effort across the spectrum of all parties involved in a project. My personal success as a business leader has been recognized by the Laguna Hills Chamber of Commerce as 'Businessman of the Year'. Later, as a key leader at UC Riverside I was characterized as a cheerleader and proponent for positive change. I am committed to the development of not only physical things, but of the people that are part of the process and of the community-at-large.

I am most interested in four areas regarding the City of Laguna Hills: the Operating Budget; fulfillment of The Village at Laguna Hills; Public Safety; and the stewardship of our Parks and

Recreation Programs. That doesn't mean I am not interested or concerned about all aspects of governance of the city, but I feel these areas are the most pressing and interconnected. I believe that the city operations are in good hands with City Staff. It is critical that we regain and maintain a healthy operating budget. With that we can solve any of our other concerns regarding public safety, deferred maintenance, park enhancements, and the ability to create other public amenities.

I believe that we are in a very important moment in time for our City. We have been noted as one of the best managed cities in Orange County for decades and yet we have had new challenges that could upset that balance of great fiscal management and how we navigate a new course going into our future. Much of that has been circumstances of an evolving world. Some of it, unfortunately, has simply been the result of differences of opinion in what was a stable small city. That said, on several fronts, we need to bring ourselves together and recreate the synergy that made us what our history is. I am interested in this appointment to City Council because I have lived here for four decades. I believe in Laguna Hills, have been fortunate to be successful and now I want to give back to my community.

The sticking point as of late seems to be the approval and fulfillment of the redevelopment of the once Laguna Hills Mall. In many respects the plan as submitted and promoted by the developer is amazing and audacious. It represents a whole new density and vision for Laguna Hills. Since my firm was a design player in The Orchard Center, the 280,000 square foot retail development project directly across the freeway in Lake Forest, I understand the need to create a vibrant center that can provide a mix of revenue sources to the City of Laguna Hills in perpetuity.

I could weigh in with some constructive suggestions of various design aspects of the Village at Laguna Hills, but the heart of my concern is, for the most part, not about design but more about how the project is programmed to generate ongoing revenue for the City. The previous use of that property as the million square foot Laguna Hills Mall was a critical source of operating funds for the City through sales tax revenues. I totally align with property rights in terms of development, yet I am concerned that the validity of this development's tax revenue projections are not necessarily valid in terms of what the City can actually count on. The situation is repairable, in my opinion. A partial solution might be accomplished without changing the basic design proposed for the Village at Laguna Hills.

The process for moving the Village at Laguna Hills along shouldn't be about requiring the developer to do anything. What may have been missing to date is a little empathy on both sides for the goals and objectives of the other. Rather than being at loggerheads it would be my goal to help our City Council to brainstorm a win-win for both the developer and the City of Laguna Hills. My chief focus would be that the City of Laguna Hills remains solvent and not just through any one-time payments directly from the developer. Revenue to the City needs to be generated by the development program itself so that it becomes perpetual income. I sincerely want the project to be able to proceed. There are things to work out, but my entire career has been centered on making solutions happen. My goal would be to assist getting the

Village Project approved and on its way before the end of this calendar year. To accomplish that there needs to be a total team effort.

A fiscally healthy Village at Laguna Hills is the key to our future, and it should take ultimate priority. Time is of the essence to brainstorm a win-win programmatic solution with the developer as a partner, not an adversary. If the project moves further along as an enduring fiscal asset where return on investment is derived out of daily operations instead of the developer's pocket, we will have achieved the ultimate end goal for both parties.

With the fulfillment of the Village at Laguna Hills will come a large spike in the population of our community. Between the residential complexes of both the Village and its neighbor, Oakbrook Village, this small area of the City will see thousands of new residents, perhaps between 10% and 15% of our current population. The provision of public safety services will be an ongoing expense for the City. That, of course, needs to be part of the discussion including how to properly fund those services. Rather than that expense being laid upon the developer, I believe the focus needs to be on how these developments, themselves, can generate the funds necessary to maintain a quality level of public safety.

The Village at Laguna Hills will provide access to much needed Quimby funds that may be applied to the expansion of facilities and maintenance of our 14 dedicated parks and additional open space. As a member of the Parks and Recreation Commission, I have a special interest in helping us to invest those funds to the best and most balanced outcome in terms of much needed deferred maintenance and enhancement of facilities where the need may be the greatest. My knowledge and experience as a design professional may be useful to City Staff in helping to guide and inform that process.

On an extended view, as a member of the City Council, I would hope to assist in crafting a longer-term vision for the City into the future. I do not want to be a career politician. I see my mission to help the City of Laguna Hills as a task, not an entry into another phase of my life...this is about giving back. I have something valuable to give. I ask for your support, trust and the opportunity to partner with you on the Laguna Hills City Council.



Years of Experience

40

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USC, 1973
Bachelor of Architecture,
USC, 1972

Professional Registration

Registered Architect:
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NV #2344
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FL #AR0015120
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Organizations

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Don W. Caskey, FAIA

**Associate Vice Chancellor
Campus Architect
University of California, Riverside**

An innovative, nationally-known leader in his profession, Mr. Caskey brings expertise, knowledge, a broad base of experience, and national recognition to the University of California, Riverside. As an architect in private practice, Mr. Caskey has functioned in the capacity of project principal, master planner, programmer, architectural designer, interior designer, project architect, and construction administrator for a variety of projects, including college & university, health care, government & institutional, office, retail & hospitality, entertainment, and industrial projects. At a time when UC Riverside is about to embark on unprecedented growth and renewal, Mr. Caskey brings to the campus extensive experience in project feasibility analysis, and master planning/urban design, building rehabilitation/code updating, sustainable design, universal design for accessibility and has successfully managed large architectural and engineering practices. He also brings a breadth of knowledge to the University related to multiple design/construction delivery methods including design-bid-build, construction management at risk, and design/build.

Mr. Caskey has been honored by civic organizations and by the University of Southern California, School of Architecture. As a national leader in the profession, he served as the president of the California Council, American Institute of Architects, and has served as a director on the National Board of the American Institute of Architects.

Career Experience Includes

- **Coleman/Caskey Architects, Inc., 1976 to 2000**
Founding Partner, Managing Principal

Mr. Caskey was a founding partner in Coleman/Caskey Architects, Inc. (CCA). During more than two decades the 55-person firm developed a vibrant practice in architecture, planning and interior design with projects throughout California and the nation. The practice focused on specific markets including higher education, healthcare, industrial, governmental and institutional, entertainment and hospitality. CCA also developed a strong practice in universal design and accessible design responding needs brought about by the American Disability Act (ADA).

During his tenure with CCA, Mr. Caskey began what would be a thirty year architectural client relationship with the University of California, Irvine and also served for five years as the Campus Consulting Architect for California State University, Los Angeles.

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- **Carter & Burgess, Inc., 2000 to 2008**
Senior Vice President

Coleman/Caskey Architects was acquired by Carter Burgess, Inc. in late 2000. Mr. Caskey served as a Senior Vice President and part of the leadership team on the West Coast to grow the practice of the 32 office, 3,200 person national practice. He served on the Associate Development Committee on the corporate level developing upcoming leadership in this national architectural/ engineering practice. Mr. Caskey's Orange County office nearly tripled in size during his tenure with Carter & Burgess to 125 design professionals in a multi-discipline architectural engineering practice with a backlog of fees totaling \$34M at year end in 2007. The projects focused upon included the design of major commercial airports, higher education, health care, county jails, and major retail centers around the world. Carter & Burgess was acquired in November 2007 by Jacobs Engineering, Inc., a 'Fortune 500' internationally based corporation.

- **University of California, Riverside (UCR), 2008 to 2013**
Associate Vice Chancellor, Campus Architect

Mr. Caskey left private sector architectural practice in early 2008 to take on the role of Associate Vice Chancellor and Campus Architect for UCR. Since arriving on campus he has been involved in the reorganization of the Design & Construction Office, now Capital Programs, and shaping new directions in planning and design for the master planned expansion of the campus. UCR's vision includes a near doubling the size of the campus over the next twenty-five years. An enormous opportunity lies ahead to plan and develop a new 270 acre green West Campus that will focus on the development of professional and graduate programs. That plan includes a new medical school for which two of the first facilities are in design and construction respectively. The campus has grown by over 3,500 students in the five years sense Don has arrived. He is responsible for the development of UCR's "Physical Design Framework" which was presented to the Regents of the University in November 2009. He currently working to prepare the Capital Planning staff to professionally manage UCR's future growth by making transformational changes in their organizations management tools and practices focusing on rolling out best practices involving the following:

- New A/E selection protocols focused on a firm's ability to deliver complete and quality assured documents.
- New A/E submission requirements, tied to their contract that are far more prescriptive as to what the products of service shall be at each milestone of the design process.
- New metrics that measure an A/E's level of 'completeness' with each milestone design submission

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and compensate them proportionally based upon a pre-negotiated Schedule of Values.

- New protocol for grading a consulting architect or engineer's performance in rendering services to UCR and archives the report card for future reference during A/E selections.
- A course and new tools in successfully negotiating scope of services, deliverables and compensation for professional services to be provided by consulting architects and engineers in a fair and consistent methodology.

Mr. Caskey chairs the Design Review Board and has participated in the project management teams of nearly twenty major projects including the major amendment of the Long Range Development Plan currently underway. He is the Capital Programs connection to the neighborhood surrounding UCR and represents UCR in most legal matters related to capital projects that require claims or errors & omissions or construction defect litigation.

Relevant Project Private Practice Experience Includes:**Higher Education:**

- University of California, Irvine, Gottschalk Medical Plaza
- University of California, Irvine, Medical Center, multiple projects — 30 years
- University of California, Irvine, McGaugh Hall Research Laboratory Expansion and Vivarium
- California State University, Los Angeles, Corporation Yard,
- California State University, Los Angeles, Public Safety Building,
- California State University, Los Angeles, Campus Master Plan,
- California State University, Northridge, Student Union
- University of California, Riverside, Humanities/Olmstead Hall Renovation,
- Pomona College Science Precinct, programming & planning,
- Azusa Pacific – West Campus Master Plan
- CSULA Campus Consulting Architect — (2000 -2005)
- CSU San Marcos University Site Selection Master Plan
- UC Irvine Medical Pavilion I & II
- UC Riverside, University Office Building
- UC Riverside Plant Transgenic Laboratory
- Stanford University School of Medicine Concept Design and Feasibility Study for new Anatomy Teaching Facility
- UCLA Sprowl and Dijkstra Residence Hall Master plans
- CSUN Graduate Housing Hall Structural Assessment
- Azusa Pacific – Darling Library Alteration & Refurbishment
- CSU San Marcos Craven Hall Program and Reuse Plan
- CSU Fullerton Corporation Yard Program & Conceptual Study
- CSU Northridge North Village Plaza Building Program & Concept Study
- CSU Northridge Corporation Yard

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Higher Education continued:

- CSU Los Angeles 1,100 car Parking Structure Design & Bridging Documents
- CSU Los Angeles Martin Luther King Hall Building Assessment & Concept
- CSU Los Angeles Biological Sciences Building Alterations
- CSU Los Angeles ADA Mitigation projects
- UC Irvine Administration Offsite Space Planning & Tenant Improvements
- UCLA School of Library Management
- UCLA Capital Programs Building & Concept
- CSU Los Angeles Biological Sciences Building Alterations
- CSU Los Angeles ADA Mitigation projects
- UC Irvine Administration Offsite Space Planning & Tenant Improvements
- UCLA School of Library Management
- UCLA Capital Programs Building
- UCLA Guesthouse Renovations and Interiors
- UC Riverside Surge Building for Mathematics & Computer Sciences
- USC Seismic repair & renovation to 12 buildings on the main & medical campuses
- UOT-Tyler University Center Concept Study
- CSU Northridge – Matador Mall Bookstore
- Azusa Pacific – Felix Event Center
- UC Riverside – Material Science & Engineering
- UC Riverside – Glen Mor II Housing Complex
- UC Riverside – SOM Research Building
- UC Riverside – SOM Education Building
- UC Riverside – Commons Project, Phase II
- UC Riverside – Student Recreation Center Expansion
- UC Riverside – Psychology Building construction
- UC Riverside – Boyce/Webber Halls Renovation Project
- UC Riverside – Hinderaker First Floor Renovation
- UC Riverside – Track Facility Replacement
- UC Riverside – Environmental Health and Safety Replacement
- UC Riverside – Practice Center
- UC Riverside – North Commons Mall Refurbishment
- UC Riverside – Genomics Research Hall construction
- UC Riverside – Glen Mor II EIR
- UC Riverside – EH&S Replacement EIR
- UCR Riverside – Dundee Housing Complex DPP
- UCR Riverside – Student Services Hall construction
- UCR Riverside – Culver Center Historic Renovation and Alterations construction
- UCR Riverside – “C” Center Site Feasibility Study
- California School for the Deaf, various projects, Riverside

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Public Agency:

- Orange County Flood Control District, operations and maintenance yard, Santa Ana, CA
- Port of San Diego General Services Building and Maintenance Facility,
- Orange County Transportation Authority (OCTA), facilities modification, Irvine, CA
- Caltrans, Maintenance and Operations Facility Relocation, Irvine
- Porterville Developmental Center, 96-bed addition, Porterville, CA
- City of Laguna Hills, Civic Center renovation-expansion
- Orange County Hall of Administration, Security and ADA upgrades
- ADA Transition Plan Update, County of Orange
- ADA Transition Plan Update, County of Alameda
- ADA Improvements to 6 State Office Buildings, Sacramento
- Statewide Coroner Training Facility, Orange County
- 1,200-Bed County Jail Facility, County of San Bernardino

Health Care Facilities

The recipient of industry awards for health-care facility design, Mr. Caskey has served more than 35 years as the company's principal in Orange County overseeing health-care projects. He has completed numerous hospital and clinic projects to the satisfaction of clients in an architectural career focused on health-care facility design. Mr. Caskey has acted as a programmer, planner, and architect for acute-care and ambulatory-care centers in the Southwest. He is equally well-versed in the operations of public and private sector health-care organizations and their facilities requirements. In recognition of his work, several of his projects have received awards sponsored by the American Institute of Architects and client organizations. He enjoys positive, long-term relationships with such clients as the University of California Medical Centers, the U.S. Air Force, U.S. Dept. of Veterans Affairs, and the State of California. Among his private sector clients are the health-care system HCA, Hoag Memorial Hospital, St. Joseph's Health System, and many hospitals and ambulatory organizations.

- Metropolitan State Hospital Cook/Chill Kitchen, Norwalk, CA
- Patton State Hospital Cook/Chill Kitchen, Highland, CA
- Porterville State Hospital, Phase I 96-bed addition, Porterville
- Edwards AFB Hospital, hospital life safety upgrades, Edwards Air Force
- Riverside Community Hospital, Emergency/Trauma Department
- Riverside Community Hospital Parking Structure
- Raincross Medical Office Building, RCH, Riverside CA
- RCH Medical Office Building, Moreno Valley, CA
- Riverside Community Hospital/HCA on-call services, multiple projects,
- Riverside Community Hospital Neo-natal Unit, CA
- Gottschalk Medical Office & Clinic Building, UC Irvine
- Outpatient Clinics, VA Medical Center, Loma Linda, CA

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Health Care Facilities continued:

- Purchasing & Medical Warehouse Building, VA Medical Center, Long Beach, CA
- Radiation Oncology Building, VA Medical Center, Long Beach
- Cancer Institute, Riverside Community Hospital, Riverside
- Lanterman State Hospital Medical Beds, Pomona, CA
- ADA Transition Plan Update, Patton State Hospital
- Correctional Treatment Centers at CA State Prisons, Pelican Bay, Sacramento, Wasco, Los Angeles, San Diego, various locations, CA
- Mission Hospital Regional Medical Center, multiple projects,
- Orange County Animal Shelter
- Yuba County Animal Shelter, Marysville, CA
- Loma Linda University Medical Center, Perinatology Clinic
- New Emergency Department, VA Medical Center Loma Linda
- Psychology Bed Floor, VA Medical Center, Van Nuys
- Kaiser Medical Office Building, Culver City
- Maxicare Medical Office Buildings, Culver City, San Antonio, Los Angeles,
- New 100-Bed Skilled Nursing Building, VA Medical Center, Las Vegas

Retail and Commercial

- The Orchard Shopping Center, Lake Forest
- CVS Pharmacy Roll-Out
- Lake Elsinore Town Center
- Toyota of Northridge
- Toyota of San Juan Capistrano
- Costa Mesa Mitsubishi
- Mitsubishi of Anaheim
- Toyota National Branding Program
- Various shops at Universal City Walk

Residential/Apartments

- Glen Mor Phase One – 510 Beds, UC Riverside
- Glen Mor Phase Two – 820 Beds, UC Riverside

Hospitality & Entertainment

- Disneyland Hotel, various hotel renovations, Anaheim, CA
- 'Fantasmic!' water effects show, Tom Sawyer Island, Disneyland, Anaheim, CA
- Disneyland Main Street, renovation, Anaheim, CA
- Disneyland Jungle Cruise, ride renovation, Anaheim, CA
- Disney California Adventure, parade building, Anaheim, CA
- Universal Studios 'Toon Lagoon', Islands of Adventure, Orlando,

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- Association of University Architects

Industrial and Maintenance Facilities

- Port of San Diego General Services Facility Building & Maintenance Facility, San Diego, CA
- Orange County Transportation Authority (OTCA) facilities modification, Irvine, CA
- Caltrans Maintenance and Operations Facility Relocation, Irvine, CA
- Laidlaw Transit Services, bus operations and maintenance facility, Irvine, CA
- El Toro Base Building Survey Phase II, Lake Forest, CA
- Orange County Flood Control District, Operations & Maintenance Yard, Orange, CA
- Boeing, Long Beach, B-17 Assembly Engineering Offices, Long Beach, CA

Laboratories

- 60,000 SF Research Facility for Amgen, Thousand Oaks, CA
- University of California, Irvine, McGaugh Hall Research Laboratory Expansion and Vivarium
- North American Sciences, Irvine CA
- Statewide County Coroner's Training Facility, Santa Ana
- Watson Laboratories, various projects, Corona, CA
- Transgenic Lab & Greenhouse, UC Riverside, Riverside, CA

Office Buildings

- 80,000 SF Office Building, Irvine, CA
- Capital Programs Office Building, UCLA
- 65,000 SF Hoag Office Building, Huntington Beach, CA
- 96,000 SF Maxicare Corporate Headquarters, Los Angeles, CA
- 60,000 SF Business Offices for UC Irvine, Irvine CA
- Multi-Building Backfill Office Reorganization, County of Orange

ADA/Universal Accessibility

- Whole County ADA Transition Plan Update, County of Orange
- Whole County ADA Transition Plan Update, County of Alameda
- ADA Upgrades, 6 Orange County Superior Courthouses
- ADA Surveys for Los Angeles County Courthouses
- ADA Surveys & Transition Plans for 4 CA State Mental Hospitals
- ADA Surveys & Transition Plan for Bakersfield Community College
- ADA Surveys for s large State Office Buildings, Sacramento
- ADA Upgrades for Countywide Facilities, Orange County
- ADA Surveys & Upgrades, Humanities/Olmsted Hall, UC Riverside

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE
A PUBLIC DOCUMENT

Date Initial Filing **b**
Filing Official Use Only

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Donald Caskey Wesley

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

City of Laguna Hills, CA

Division, Board, Department, District, if applicable

Your Position

City Council applicant for possible appointment

Applicant

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

☐ State

☐ Judge, Retired Judge, Pro Tem Judge, or Court Commissioner
(Statewide Jurisdiction)

☐ Multi-County _____

☐ County of _____

☒ City of **City of Laguna Hills, California**

☐ Other _____

3. Type of Statement (Check at least one box)

☐ **Annual:** The period covered is January 1, 2020, through
December 31, 2020.

☐ **Leaving Office:** Date Left ____/____/_____
(Check one circle.)

-or-

The period covered is ____/____/_____, through
December 31, 2020.

☐ The period covered is January 1, 2020, through the date of
leaving office.

-or-

☐ **Assuming Office:** Date assumed ____/____/____

☐ The period covered is ____/____/_____, through
the date of leaving office.

☒ **Candidate:** Date of Election **November 2021** and office sought, if different than Part 1: _____

4. Schedule Summary (must complete) ► Total number of pages including this cover page: 11

Schedules attached

☒ **Schedule A-1 - Investments** – schedule attached

☐ **Schedule C - Income, Loans, & Business Positions** – schedule attached

☐ **Schedule A-2 - Investments** – schedule attached

☐ **Schedule D - Income – Gifts** – schedule attached

☒ **Schedule B - Real Property** – schedule attached

☐ **Schedule E - Income – Gifts – Travel Payments** – schedule attached

-or- ☐ **None - No reportable interests on any schedule**

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)
27182 Hidden Trail Road Laguna Hills CA 92653-5809

DAYTIME TELEPHONE NUMBER

EMAIL ADDRESS

don@doncaskey.com

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed **October 2, 2021**

(month, day, year)

Signature

Donald Wesley Caskey
(File the originally signed paper statement with your filing official.)

Print

Clear

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Donald W. Caskey

NAME OF BUSINESS ENTITY
Starbucks

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
3M Company

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Nike, Inc

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Cognizant Sol CI A

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Medtronic, Inc.

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
General Dynamics

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

Comments: _____

Print

Clear

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

b

FAIR POLITICAL PRACTICES COMMISSION

Name
Donald W. Caskey

NAME OF BUSINESS ENTITY
Apple, Inc

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
/ / 20 / / 20
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Oracle Corporation

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
/ / 20 / / 20
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Coca Cola

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
/ / 20 / / 20
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Wal-Mart Stores, Inc

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
/ / 20 / / 20
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Pepsico

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
/ / 20 / / 20
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY
Johnson & Johnson

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
/ / 20 / / 20
ACQUIRED DISPOSED

Comments:

Print

Clear

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Investments must be itemized.

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Donald W. Caskey

b

▶ NAME OF BUSINESS ENTITY
Biogen Idec, Inc.

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Cysco Systems

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Berkshire Hathaway, Inc.

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Procter & Gamble

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Raytheon Technologies Corp Com

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Aristotle/Large Cap Value Institutional

GENERAL DESCRIPTION OF THIS BUSINESS
Institutional Investment Fund

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

Comments: _____

Print

Clear

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Investments must be itemized.

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Donald W. Caskey

▶ NAME OF BUSINESS ENTITY
Becton Dickenson & Co

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Home Depot, Inc.

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Walt Disney

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
VISA Inc. - Class A

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Microsoft Corporation

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Alphabet Inc.

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

Comments: _____

Print

Clear

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Investments must be itemized.

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

b

Name

Donald W. Caskey

► NAME OF BUSINESS ENTITY
Polen Growth Institutional

GENERAL DESCRIPTION OF THIS BUSINESS
Institutional Investment Fund

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
CH Robinson Worldwide

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
AMG River Road Small Cap Value

GENERAL DESCRIPTION OF THIS BUSINESS
Institutional Investment Fund

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
International Flavors & Fragrances

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Vanguard Mid Cap Index

GENERAL DESCRIPTION OF THIS BUSINESS
Institutional Investment Fund

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Reckitt Benckiser PLC

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

Comments: _____

Print

Clear

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name Donald W. Caskey	b
---	---

▶ NAME OF BUSINESS ENTITY
Roche Holdings Limited

GENERAL DESCRIPTION OF THIS BUSINESS
Institutional Investment Fund

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
FMI International Institutional

GENERAL DESCRIPTION OF THIS BUSINESS
Institutional Investment Fund

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
American Funds Europacific Growth F2

GENERAL DESCRIPTION OF THIS BUSINESS
Institutional Investment Fund

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Unilever PLC ADR

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Novo Nordisk ADR

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Accenture Ltd.

GENERAL DESCRIPTION OF THIS BUSINESS
Corporation

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

Comments: _____

Print

Clear

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

b

Name

Donald W. Caskey

► NAME OF BUSINESS ENTITY
Invesco Developing Markets

GENERAL DESCRIPTION OF THIS BUSINESS
Institutional Investment Fund

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Metropolitan West Total Return Bond I

GENERAL DESCRIPTION OF THIS BUSINESS
US Core or Muni Bonds

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☒ Other Bonds _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Belle Haven ladder PLUS

GENERAL DESCRIPTION OF THIS BUSINESS
US Core or Muni Bonds

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☒ Other Bonds _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Accrued Income

GENERAL DESCRIPTION OF THIS BUSINESS
US Core or Muni Bonds

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other Cash from Bonds _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Schwab US TIPS ETF

GENERAL DESCRIPTION OF THIS BUSINESS
US Inflation Protected Bonds

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☒ Other Bonds _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Lord Abbott High Yield I

GENERAL DESCRIPTION OF THIS BUSINESS
US High Yield Bonds

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☒ Other Bonds _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

Comments: _____

Print

Clear

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Investments must be itemized.

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name Donald W. Caskey	b
---	---

▶ NAME OF BUSINESS ENTITY
Cliffwater Corporate Lending Fund

GENERAL DESCRIPTION OF THIS BUSINESS
Alternative Investments

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☒ Other Alternative investments
(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 20 / / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Saratoga RIM - Quality

GENERAL DESCRIPTION OF THIS BUSINESS
Hedged Equity

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☒ Other Hedged Equity
(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 20 / / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
FDIC Insured Deposit Account

GENERAL DESCRIPTION OF THIS BUSINESS
Cash Deposit account

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☒ Other Cash Deposit
(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 20 / / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other
(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 20 / / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other
(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 20 / / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other
(Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 20 / / 20
 ACQUIRED DISPOSED

Comments: _____

Print

Clear

SCHEDULE B Interests in Real Property (Including Rental Income)

Name

Donald W. Caskey

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS

28102 Espinoza

CITY

Mission Viejo

FAIR MARKET VALUE

☐ \$2,000 - \$10,000☐ \$10,001 - \$100,000☒ \$100,001 - \$1,000,000☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

____/____/20
ACQUIRED____/____/20
DISPOSED

NATURE OF INTEREST

☒ Ownership/Deed of Trust☐ Easement☐ Leasehold

Yrs. remaining

☐

Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

☐ \$0 - \$499☐ \$500 - \$1,000☐ \$1,001 - \$10,000☒ \$10,001 - \$100,000☐ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

☐ None

Mohammad Eshaghbeigi, Fatemah Saraviafif,
Amirreza Eshaghbeigi

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS

27182 Hidden Trail Road

CITY

Laguna Hills

FAIR MARKET VALUE

☐ \$2,000 - \$10,000☐ \$10,001 - \$100,000☐ \$100,001 - \$1,000,000☒ Over \$1,000,000

IF APPLICABLE, LIST DATE:

____/____/20
ACQUIRED____/____/20
DISPOSED

NATURE OF INTEREST

☒ Ownership/Deed of Trust☐ Easement☐ Leasehold

Yrs. remaining

☐

Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

☐ \$0 - \$499☐ \$500 - \$1,000☐ \$1,001 - \$10,000☐ \$10,001 - \$100,000☐ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

☐ None

* You are not required to report loans from a commercial lending institution made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

INTEREST RATE

TERM (Months/Years)

_____%

☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$500 - \$1,000☐ \$1,001 - \$10,000☐ \$10,001 - \$100,000☐ OVER \$100,000☐ Guarantor, if applicable

NAME OF LENDER*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

INTEREST RATE

TERM (Months/Years)

_____%

☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

☐ \$500 - \$1,000☐ \$1,001 - \$10,000☐ \$10,001 - \$100,000☐ OVER \$100,000☐ Guarantor, if applicable

Comments:

Print

Clear

SCHEDULE B

Interests in Real Property

(Including Rental Income)

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION
Name Donald W. Caskey

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS

706 Fairway Circle

CITY

Mammoth Lakes

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☒ Over \$1,000,000

IF APPLICABLE, LIST DATE:

____/____/20 ____/____/20
 ACQUIRED DISPOSED

NATURE OF INTEREST

- ☒ Ownership/Deed of Trust ☐ Easement
☐ Leasehold _____ Yrs. remaining ☐ _____ Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

- ☐ \$0 - \$499 ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

☐ None

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS

CITY

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

____/____/20 ____/____/20
 ACQUIRED DISPOSED

NATURE OF INTEREST

- ☐ Ownership/Deed of Trust ☐ Easement
☐ Leasehold _____ Yrs. remaining ☐ _____ Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

- ☐ \$0 - \$499 ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

☐ None

* You are not required to report loans from a commercial lending institution made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

INTEREST RATE

TERM (Months/Years)

_____% ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

- ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☐ OVER \$100,000
☐ Guarantor, if applicable

NAME OF LENDER*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

INTEREST RATE

TERM (Months/Years)

_____% ☐ None

HIGHEST BALANCE DURING REPORTING PERIOD

- ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☐ OVER \$100,000
☐ Guarantor, if applicable

Comments: _____

Print

Clear

LETTER OF RECOMMENDATION
TO THE CITY COUNCIL OF LAGUNA HILLS

I recommend Donald W. Caskey to be appointed to Laguna Hills City Council to replace Councilmember Bill Hunt. I attest that I am a registered voter of the City of Laguna Hills.

NAME (print) KENNETH CHENG NAME (signature) [Signature]
ADDRESS 26922 HIGHWOOD, L.H. DATE 10-9-21

NAME (print) LISA Cheng NAME (signature) [Signature]
ADDRESS 26922 Highwood, LH DATE 10-11-21

NAME (print) Katherine Cheng NAME (signature) [Signature]
ADDRESS 26922 Highwood Cir., LH DATE 10/11/21

NAME (print) John Park NAME (signature) [Signature]
ADDRESS 25072 NEULIE GARD, LH DATE 10/12/21

NAME (print) Mikhail Trubchik NAME (signature) [Signature]
ADDRESS 27162 Hidden Trail Rd, LH DATE 10-12-21

NAME (print) Olga Trubchik NAME (signature) [Signature]
ADDRESS 27162 Hidden Trail Rd. 92653 DATE 10/12/2021

LETTER OF RECOMMENDATION TO THE CITY COUNCIL OF LAGUNA HILLS

I recommend Donald W. Caskey to be appointed to Laguna Hills City Council to replace Councilmember Bill Hunt. I attest that I am a registered voter of the City of Laguna Hills.

NAME (print) Lydia HERVATIN NAME (signature) Lydia Hervatin
ADDRESS 27351 Hidden Trail Rd DATE Oct 8th, 2021
Laguna Hills, CA 92653

NAME (print) LISA ANDRIC NAME (signature) Lisa And
ADDRESS 25766 PECOS ROAD DATE 10-9-2021
LAGUNA HILLS
CA 92653

NAME (print) MIROSLAV ANDRIC NAME (signature) Miroslav Andric
ADDRESS 25766 PECOS RD., LAGUNA HILLS DATE 10-9-2021

NAME (print) Natalie Andric NAME (signature) Natalie Andric
ADDRESS 25766 Pecos Rd DATE 10-9-2021

NAME (print) Ricardo Brutocao NAME (signature) Ricardo Brutocao
ADDRESS 25412 WAGON WHEEL, LAGUNA HILLS DATE 10/11/21

NAME (print) Michelle Brutocao NAME (signature) Michelle Brutocao
ADDRESS 25412 WAGON WHEEL DATE 10/11/21
LAGUNA HILLS

LETTER OF RECOMMENDATION TO THE CITY COUNCIL OF LAGUNA HILLS

I recommend Donald W. Caskey to be appointed to Laguna Hills City Council to replace Councilmember Bill Hunt. I attest that I am a registered voter of the City of Laguna Hills.

NAME (print) Gail Fellers NAME (signature) Gail Fellers

ADDRESS 25132 Buckboard Ln DATE 10/9/21

NAME (print) Dustin Fellers NAME (signature) Dustin Fellers

ADDRESS 25132 Buckboard Lane DATE 10/9/21

NAME (print) Paige Fellers NAME (signature) Paige Fellers

ADDRESS 25132 Buckboard Ln DATE 10/9/21

NAME (print) Bill Fellers NAME (signature) Bill Fellers

ADDRESS 25132 Buckboard Lane DATE 10/9/2021

NAME (print) SANJAY MATHUR NAME (signature) Sanjay Mathur

ADDRESS 27212 HIDDEN TRAIL DATE 10/9/2021

NAME (print) Pammi Mathur NAME (signature) pammi mathur

ADDRESS 27212 Hidden Trail DATE 10/9/2021

LETTER OF RECOMMENDATION TO THE CITY COUNCIL OF LAGUNA HILLS

I recommend Donald W. Caskey to be appointed to Laguna Hills City Council to replace Councilmember Bill Hunt. I attest that I am a registered voter of the City of Laguna Hills.

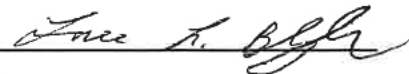
NAME (print) Michael Rosen NAME (signature) 
ADDRESS 25432 Sheritt Rd LH 92653 DATE 10/6/21

NAME (print) Pamela Fraser NAME (signature) 
ADDRESS 25851 Sheritt Rd LH 92653 DATE 10/6/21

NAME (print) Julia Scholtes NAME (signature) 
ADDRESS 27322 Lost Crt DATE 10/6/21

NAME (print) PAUL SCHOLTES NAME (signature) 
ADDRESS 27322 Lost Crt Dr DATE 10/8/21
LH 92653

NAME (print) DENNIS BLOUGH NAME (signature) 
ADDRESS 25761 Nellie Gail Rd DATE 10-8-21
L.H. 92653

NAME (print) LOREY BLOUGH NAME (signature) 
ADDRESS 25761 Nellie Gail Rd LH DATE 10/8/21

LETTER OF RECOMMENDATION TO THE CITY COUNCIL OF LAGUNA HILLS

I recommend Donald W. Caskey to be appointed to Laguna Hills City Council to replace Councilmember Bill Hunt. I attest that I am a registered voter of the City of Laguna Hills.

NAME (print) ALAN CUSOLITO NAME (signature) 

ADDRESS 20 ASPEN CREEK LH DATE 10/5/21

NAME (print) JOY CHEN NAME (signature) 

ADDRESS 25951 SHERIFF RD, LH DATE 10/5/2021

NAME (print) JIM CHEN NAME (signature) 

ADDRESS 25951 SHERIFF RD, LH DATE 10/5/2021

NAME (print) YVONNE LEE NAME (signature) 

ADDRESS 25951 SHERIFF DATE 10.5.2021

NAME (print) TINA CHEN NAME (signature) 

ADDRESS 25951 Sheriff Rd DATE 10/5/21
LH. CA 92653

NAME (print) MELIDA ROSEN NAME (signature) 

ADDRESS 25932 Sheriff Rd S/H DATE 10/6/21

LETTER OF RECOMMENDATION TO THE CITY COUNCIL OF LAGUNA HILLS

I recommend Donald W. Caskey to be appointed to Laguna Hills City Council to replace Councilmember Bill Hunt. I attest that I am a registered voter of the City of Laguna Hills.

NAME (print) Diana Talamantes NAME (signature) [Signature]
ADDRESS Luna Hills DATE 10-7-2021

NAME (print) Melissa Vizzaccaro NAME (signature) [Signature]
ADDRESS 26801 Devonshire LH DATE 10-7-21

NAME (print) Hazel Manarang NAME (signature) [Signature]
ADDRESS 24922 Sutter DR LH DATE 10/8/21

NAME (print) _____ NAME (signature) _____
ADDRESS _____ DATE _____

NAME (print) _____ NAME (signature) _____
ADDRESS _____ DATE _____

NAME (print) _____ NAME (signature) _____
ADDRESS _____ DATE _____

LETTER OF RECOMMENDATION TO THE CITY COUNCIL OF LAGUNA HILLS

I recommend Donald W. Caskey to be appointed to Laguna Hills City Council to replace Councilmember Bill Hunt. I attest that I am a registered voter of the City of Laguna Hills.

NAME (print) Sara Kajbaf NAME (signature) Sara Kajbaf
ADDRESS 27192 Hidden trail Rd DATE 10/09/2021
Laguna Hills

NAME (print) Ali Manouchehri NAME (signature) Ali Manouchehri
ADDRESS 27192 Hidden Trail Rd. DATE 10/09/21
Laguna Hills,

NAME (print) _____ NAME (signature) _____
ADDRESS _____ DATE _____

NAME (print) _____ NAME (signature) _____
ADDRESS _____ DATE _____

NAME (print) _____ NAME (signature) _____
ADDRESS _____ DATE _____

NAME (print) _____ NAME (signature) _____
ADDRESS _____ DATE _____



**CITY OF LAGUNA HILLS
APPLICATION FOR CITY COUNCIL APPOINTMENT
CITY COUNCIL VACANCY**

INSTRUCTIONS: Complete this application and return it to the City Clerk's Department, 24035 El Toro Road, Laguna Hills, CA 92653. If you have any questions, please contact the City Clerk's Office at (949)707-2630.

Please note, late filed applications will NOT be accepted.

APPLICATIONS ARE DUE BY WEDNESDAY, OCTOBER 13, 2021 AT 5:00 P.M.

First Name: Mark Last Name: Schaff
 Home Address: 26001 Anacapa St Laguna Hills
 Home Phone: () Cell Phone: ()
 Email: mpschaaffe@cox.net Business Phone: ()
 Occupation: Training & Development Business Name: OCTA
 Business Address: 600 S. Main St. Orange CA

Please submit the following with the completed application:

Attached

- a. A Personal Statement of Interest/Qualifications
- b. A completed State of California, Fair Political Practices Commission (FPPC) Form 700, Statement of Economic Interests, which disclosure form and instructions are available for downloading at <https://www.fppc.ca.gov/Form700.html>.

How long have you lived in the City of Laguna Hills?

30 years

Please describe your Professional and Educational background (attach Resume, if desired):

Bachelors Degree in Business Administration (Accounting)
MBA (Finance and Organizational Development)
20 years in Corporate Finance and 16 years in Organizational Development
Employers included KPMG, Cox Communication, McKesson, OCTA

Please list any Licenses or Special Certificates held:

CPA (inactive)

Please list any Commissions and/or Boards on which you have served:

Traffic Commission Year(s) served: 2021
 Year(s) served: _____

Please list organizations to which you belong (professional, technical, community, service, etc.):

AICPA
BSA

Why do you wish to serve the City? What qualifications/experience would you bring to the Council?

See attached Interest/Qualification statement

In your opinion, what are the most important issues facing the City of Laguna Hills?

- 1- SAFETY
- 2- ECONOMIC STABILITY/SECURITY
- 3- QUALITY OF LIFE

What vision do you have for the future of the City of Laguna Hills? I do not have a personal vision for the city. I believe the city council are stewards of city resources, including the image of Laguna Hills.

References:

- | | | | | |
|----|--------------------|------------------------------|-----------|-------|
| 1. | <u>John Weston</u> | <u>25071 Anvil Circle</u> | <u>LH</u> | |
| | Name | Address | | |
| 2. | <u>Joe Lusker</u> | <u>25991 Anacapa St</u> | <u>LH</u> | |
| | Name | Address | | Phone |
| 3. | <u>Craig Scott</u> | <u>26011 Nellie Gail Rd.</u> | <u>LH</u> | |
| | Name | Address | | Phone |

ELIGIBILITY REQUIREMENTS: To qualify as a member of the City Council you must be: a) 18 years of age or older; b) a resident of the City of Laguna Hills; and c) a registered voter.

Are you able to attend City Council meetings on the 2nd and 4th Tuesdays of each month? YES ☒ NO ☐

Are you able to attend Special Meetings of the City Council, as necessary? YES ☒ NO ☐

Are you a registered voter? YES ☒ NO ☐

Have you attached a completed State of California, Fair Political Practices Commission (FPPC) Form 700, Statement of Economic Interests, which disclosure form and instructions are available for downloading at <https://www.fppc.ca.gov/Form700.html>. YES ☒ NO ☐

(If the answer is NO to any of the above, please explain):

"I hereby declare under penalty of perjury pursuant to the laws of the State of California that the foregoing facts, statements and all information submitted as part of this application are true and correct."

Signature: Mark Schultz

Date: 10/9/2021

Return to: City Clerk's Department, City of Laguna Hills, 24035 El Toro Road, Laguna Hills, CA 92653

Telephone: (949) 707-2630

Fax: (949) 707-2614

www.lagunahillscagov

To: Honorable Laguna Hills City Council
 From: Mark Schaff
 Subject: City Council Appointment Application – Interest Statement
 Date: October 12, 2021

I am applying for appointment to serve on the Laguna Hills City Council through the November 2022 election. I'm applying because the resignation of Councilmember Hunt created a council vacancy at a time when the city and the council face several very important issues and decisions. The resolution of The Village at Laguna Hills development, the protection of city sovereignty over planning decisions relative to RHNA, the balancing of fiscal challenges with safety needs are all important issues the council is wrestling with and the decisions will affect the city for the foreseeable future. And the normal business of city governance doesn't stop. The lack of a single councilmember increases the burden on the remaining councilmembers and at the same time limits perspective. I have the concern, the commitment, and the competence to serve.

I'm concerned. My family has lived in Laguna Hills for 30 years. Our three children all grew up here and return frequently. I've run (I wouldn't say raced) in the Half Marathon, ridden the bike trail and watched city fireworks displays. I've played Frisbee at "Turtle Park" and taken family members to Saddleback Hospital Emergency Room. I've taken evening walks in the neighborhood, dined at local restaurants, and attended school plays (even after our kids had graduated). You can be confident I want the best for our community.

I'm committed. I have served the city and county for years, at innumerable Volunteer Days, as referee at AYSO soccer games, and in support of LHHS cross-country invitational. I have set up chairs, cleaned up trash and helped bake cookies for the Dark Horse Battalion. I served as a member of the Orange County Search and Rescue team, led Boy Scout troops, and currently serve on the Traffic Commission. I've attended public meetings as a casual observer, a concerned advocate, and a determined speaker. You can count on me to prepare for and participate in rich public discourse.

I'm competent. I learn readily and am familiar with many of the central and nuanced issues facing the city. I am a CPA and familiar with government accounting and budgeting. I'm accustomed to the Brown Act and have presented before the OCTA board on multiple occasions. I have been attending public meetings and studying the issues the council is facing. You can trust that I will be prepared, speak frankly, and respect the people and the process.

I've watched this council serve admirably and vigorously, considering the variety of viewpoints that represent our citizens. Different viewpoints can be hard to share (and to hear), and some leaders shy from it. But disagreement also reflects openness to other viewpoints coupled with a trust that allows sharing those different perspectives. I respect the council's willingness to not only disagree, but to probe the nature of those differences and to strive for a common civic objective while being flexible in our strategies.

I am confident I can contribute to a safe and robust future for this wonderful city. I invite you to give me the opportunity to serve beside you.

**STATEMENT OF ECONOMIC INTERESTS
 COVER PAGE
 A PUBLIC DOCUMENT**

 Date Initial Filing Received
 Filing Official Use Only

 Filed Date: 02/09/2021 03:16 PM
 SAN: 111400079-STH-0079

Please type or print in ink.

 NAME OF FILER (LAST) (FIRST) (MIDDLE)
 Schaff Mark

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Orange County Transportation Authority

Division, Board, Department, District, if applicable

Your Position

LEARNING & DEVELOPMENT ADMINISTRATOR PRINCIPAL

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)
☐ State

☐ Judge, Retired Judge, Pro Tem Judge, or Court Commissioner
 (Statewide Jurisdiction)

☐ Multi-County _____

☒ County of Orange
☐ City of _____

☐ Other _____

3. Type of Statement (Check at least one box)
☒ **Annual:** The period covered is January 1, 2020, through
 December 31, 2020.

-or-

 The period covered is ____/____/____, through
 December 31, 2020.

☐ **Leaving Office:** Date Left ____/____/____
 (Check one circle.)

☐ The period covered is January 1, 2020, through the date of
 leaving office.

-or-

☐ The period covered is ____/____/____, through
 the date of leaving office.

☐ **Assuming Office:** Date assumed ____/____/____

☐ **Candidate:** Date of Election _____ and office sought, if different than Part 1: _____

4. Schedule Summary (must complete) ► Total number of pages including this cover page: 10
Schedules attached
☒ **Schedule A-1 - Investments** – schedule attached

☐ **Schedule C - Income, Loans, & Business Positions** – schedule attached

☐ **Schedule A-2 - Investments** – schedule attached

☐ **Schedule D - Income – Gifts** – schedule attached

☐ **Schedule B - Real Property** – schedule attached

☐ **Schedule E - Income – Gifts – Travel Payments** – schedule attached

 -or- ☐ **None - No reportable interests on any schedule**
5. Verification

 MAILING ADDRESS STREET CITY STATE ZIP CODE
 (Business or Agency Address Recommended - Public Document)

550 South Main Street, P.O. Box 14184

Orange

CA

92863-1584

DAYTIME TELEPHONE NUMBER

EMAIL ADDRESS

 I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained
 herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

 Date Signed 02/09/2021 03:16 PM
 (month, day, year)

 Signature Electronic Submission
 (File the originally signed paper statement with your filing official.)

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

*Investments must be itemized.**Do not attach brokerage or financial statements.***CALIFORNIA FORM 700**
FAIR POLITICAL PRACTICES COMMISSION

Name

Mark Schaff

▶ NAME OF BUSINESS ENTITY
ADOBE

GENERAL DESCRIPTION OF THIS BUSINESS
software

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
AGILENT TECH

GENERAL DESCRIPTION OF THIS BUSINESS
technology

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
ALIBABA

GENERAL DESCRIPTION OF THIS BUSINESS
technology, retail

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Allegion PLC

GENERAL DESCRIPTION OF THIS BUSINESS
Security

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
AMERICAN EXPRESS COMPANY

GENERAL DESCRIPTION OF THIS BUSINESS
finance

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
American International Group

GENERAL DESCRIPTION OF THIS BUSINESS
insurance

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 11 / 09 / 20
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

*Investments must be itemized.**Do not attach brokerage or financial statements.***CALIFORNIA FORM 700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Mark Schaff

▶ NAME OF BUSINESS ENTITY
AT & T INC

GENERAL DESCRIPTION OF THIS BUSINESS
telecommunications

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Automatic Data

GENERAL DESCRIPTION OF THIS BUSINESS
software

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Bank of America

GENERAL DESCRIPTION OF THIS BUSINESS
finance

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Baxter Intl

GENERAL DESCRIPTION OF THIS BUSINESS
pharmaceutical

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
BRISTOL MYERS SQUIBB

GENERAL DESCRIPTION OF THIS BUSINESS
pharmaceuticals

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Capital One Financial

GENERAL DESCRIPTION OF THIS BUSINESS
finance

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/20 01 / 05 / 20
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

*Investments must be itemized.**Do not attach brokerage or financial statements.***CALIFORNIA FORM 700**
FAIR POLITICAL PRACTICES COMMISSION

Name

Mark Schaff

▶ NAME OF BUSINESS ENTITY
Duke Energy Corp

GENERAL DESCRIPTION OF THIS BUSINESS
energy

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
EXELON CORP

GENERAL DESCRIPTION OF THIS BUSINESS
energy

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Elanco Animal Health

GENERAL DESCRIPTION OF THIS BUSINESS
helathcare

FAIR MARKET VALUE
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
Hershey Co

GENERAL DESCRIPTION OF THIS BUSINESS
consumable manufacturing

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
ENBRIDGE

GENERAL DESCRIPTION OF THIS BUSINESS
energy

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/20 ____/____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
HOME DEPOT

GENERAL DESCRIPTION OF THIS BUSINESS
retail

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 ____/____/20 ____/____/20
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

*Investments must be itemized.**Do not attach brokerage or financial statements.***CALIFORNIA FORM 700**
FAIR POLITICAL PRACTICES COMMISSION

Name

Mark Schaff

▶ NAME OF BUSINESS ENTITY

INGERSOLL-RAND

GENERAL DESCRIPTION OF THIS BUSINESS

durable manufacturing

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

INTEL

GENERAL DESCRIPTION OF THIS BUSINESS

technology

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

JOHNSON & JOHNSON

GENERAL DESCRIPTION OF THIS BUSINESS

medical equipment

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

JP MORGAN CHASE

GENERAL DESCRIPTION OF THIS BUSINESS

finance

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 06 / 30 / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

Keysight Technology

GENERAL DESCRIPTION OF THIS BUSINESS

technology

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

Kinder Morgan

GENERAL DESCRIPTION OF THIS BUSINESS

energy

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Investments must be itemized.

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name

Mark Schaff

▶ NAME OF BUSINESS ENTITY

Medtronic

GENERAL DESCRIPTION OF THIS BUSINESS

Medical equipment

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

Merck

GENERAL DESCRIPTION OF THIS BUSINESS

pharmaceutical

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

Microsoft

GENERAL DESCRIPTION OF THIS BUSINESS

computer software

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

NISOURCE INC

GENERAL DESCRIPTION OF THIS BUSINESS

energy

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

Pepsico

GENERAL DESCRIPTION OF THIS BUSINESS

food

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

PFIZER INCORPORATED

GENERAL DESCRIPTION OF THIS BUSINESS

pharmaceuticals

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

*Investments must be itemized.**Do not attach brokerage or financial statements.***CALIFORNIA FORM 700**
FAIR POLITICAL PRACTICES COMMISSION

Name

Mark Schaff

▶ NAME OF BUSINESS ENTITY

RAYTHEON

GENERAL DESCRIPTION OF THIS BUSINESS

defense

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

SOUTHERN COMPANY

GENERAL DESCRIPTION OF THIS BUSINESS

energy

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

Takeda ADR

GENERAL DESCRIPTION OF THIS BUSINESS

pharmaceutical

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

TEXAS INSTRUMENTS INC

GENERAL DESCRIPTION OF THIS BUSINESS

electronics

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

TRAVELERS COS INC/ THE

GENERAL DESCRIPTION OF THIS BUSINESS

insurance

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

UNION PACIFIC CORP

GENERAL DESCRIPTION OF THIS BUSINESS

materials transportation

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

 / / 20 / / 20
ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

*Investments must be itemized.**Do not attach brokerage or financial statements.***CALIFORNIA FORM 700**
FAIR POLITICAL PRACTICES COMMISSION

Name

Mark Schaff

▶ NAME OF BUSINESS ENTITY
UNITED TECHNOLOGIES

GENERAL DESCRIPTION OF THIS BUSINESS
technology

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
WISCONSIN ENERGY CORP

GENERAL DESCRIPTION OF THIS BUSINESS
energy

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
VERIZON COMMUNICATIONS

GENERAL DESCRIPTION OF THIS BUSINESS
telecommunications

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
XCEL ENERGY

GENERAL DESCRIPTION OF THIS BUSINESS
energy

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 _____/_____/20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
WELLS FARGO CO

GENERAL DESCRIPTION OF THIS BUSINESS
finance

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 _____/_____/20 01 / 05 / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY
ALPHABET

GENERAL DESCRIPTION OF THIS BUSINESS
technology

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 01 / 05 / 20 _____/_____/20
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Investments must be itemized.

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name

Mark Schaff

▶ NAME OF BUSINESS ENTITY

SOUTHWEST

GENERAL DESCRIPTION OF THIS BUSINESS

airline

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

04 / 01 / 20 _____ / _____ / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

ABBOTT LABS

GENERAL DESCRIPTION OF THIS BUSINESS

pharma

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

04 / 01 / 20 _____ / _____ / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

CARETRUST

GENERAL DESCRIPTION OF THIS BUSINESS

REIT

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

09 / 28 / 20 _____ / _____ / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

WALT DISNEY

GENERAL DESCRIPTION OF THIS BUSINESS

entertainment

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

04 / 01 / 20 _____ / _____ / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

TENCENT HOLDINGS ADR

GENERAL DESCRIPTION OF THIS BUSINESS

RETAIL

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

09 / 29 / 20 _____ / _____ / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

SONY ADR

GENERAL DESCRIPTION OF THIS BUSINESS

electronics

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

04 / 01 / 20 _____ / _____ / 20
 ACQUIRED DISPOSED

Comments: _____

SCHEDULE A-1**Investments****Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

*Investments must be itemized.**Do not attach brokerage or financial statements.***CALIFORNIA FORM 700**
FAIR POLITICAL PRACTICES COMMISSION

Name

Mark Schaff

▶ NAME OF BUSINESS ENTITY

TRANE

GENERAL DESCRIPTION OF THIS BUSINESS

Technology

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

02 / 05 / 20 _____ / _____ / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

BERKSHIRE HATHAWAY

GENERAL DESCRIPTION OF THIS BUSINESS

investment holding co

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

01 / 05 / 20 _____ / _____ / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF THIS BUSINESS

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☐ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

_____ / _____ / 20 _____ / _____ / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

ACTIVISION

GENERAL DESCRIPTION OF THIS BUSINESS

software

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

04 / 01 / 20 _____ / _____ / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

APPLE

GENERAL DESCRIPTION OF THIS BUSINESS

technology

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

12 / 03 / 20 _____ / _____ / 20
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

VIATRIS

GENERAL DESCRIPTION OF THIS BUSINESS

pharma

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____ (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

11 / 01 / 20 _____ / _____ / 20
 ACQUIRED DISPOSED

Comments: _____



**CITY OF LAGUNA HILLS
APPLICATION FOR CITY COUNCIL APPOINTMENT
CITY COUNCIL VACANCY**

INSTRUCTIONS: Complete this application and return it to the City Clerk's Department, 24035 El Toro Road, Laguna Hills, CA 92653. If you have any questions, please contact the City Clerk's Office at (949)707-2630.

Please note, late filed applications will NOT be accepted.

APPLICATIONS ARE DUE BY WEDNESDAY, OCTOBER 13, 2021 AT 5:00 P.M.

First Name: Chris Last Name: Mackey
 Home Address: 25942 La Cuesta Ave. Laguna Hills
 Home Phone: () Cell Phone: ()
 Email: Chris.mackey2018@gmail.com Business Phone: () N/A
 Occupation: Community Volunteer Business Name: Saddleback Valley USD
 Business Address: 25631 Peter A. Hartman Way, Mission Viejo

Please submit the following with the completed application:

- a. A Personal Statement of Interest/Qualifications
- b. A completed State of California, Fair Political Practices Commission (FPPC) Form 700, Statement of Economic Interests, which disclosure form and instructions are available for downloading at <https://www.fppc.ca.gov/Form700.html>.

How long have you lived in the City of Laguna Hills?

23 years

Please describe your Professional and Educational background (attach Resume, if desired):

* Please see attachment for all answers. *

Please list any Licenses or Special Certificates held:

Please list any Commissions and/or Boards on which you have served:

Year(s) served: _____

Year(s) served: _____

Please list organizations to which you belong (professional, technical, community, service, etc.):

Why do you wish to serve the City? What qualifications/experience would you bring to the Council?

** Please See attachment for all answers. **

In your opinion, what are the most important issues facing the City of Laguna Hills?

What vision do you have for the future of the City of Laguna Hills?

References:

1.

Name	Address	Phone
2.

Name	Address	Phone
3.

Name	Address	Phone

ELIGIBILITY REQUIREMENTS: To qualify as a member of the City Council you must be: a) 18 years of age or older; b) a resident of the City of Laguna Hills; and c) a registered voter.

Are you able to attend City Council meetings on the 2nd and 4th Tuesdays of each month? YES ☒ NO ☐

Are you able to attend Special Meetings of the City Council, as necessary? YES ☒ NO ☐

Are you a registered voter? YES ☒ NO ☐

Have you attached a completed State of California, Fair Political Practices Commission (FPPC) Form 700, Statement of Economic Interests, which disclosure form and instructions are available for downloading at <https://www.fppc.ca.gov/Form700.html>. YES ☒ NO ☐

(If the answer is NO to any of the above, please explain):

"I hereby declare under penalty of perjury pursuant to the laws of the State of California that the foregoing facts, statements and all information submitted as part of this application are true and correct."

Signature: *Oliver Martinez*

Date: 10/13/21

Return to: City Clerk's Department, City of Laguna Hills, 24035 El Toro Road, Laguna Hills, CA 92653

Telephone: (949) 707-2630

Fax: (949) 707-2614

www.lagunahillscagov

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE
A PUBLIC DOCUMENT

Date Initial Filing b
Filing Official Use Only

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Mackey Chris T.

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

City of Laguna Hills

Division, Board, Department, District, if applicable

Your Position

City Council

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

☐ State

☐ Judge, Retired Judge, Pro Tem Judge, or Court Commissioner
(Statewide Jurisdiction)

☐ Multi-County _____

☐ County of _____

☒ City of Laguna Hills

☐ Other _____

3. Type of Statement (Check at least one box)

☐ **Annual:** The period covered is January 1, **2020**, through
December 31, **2020**.

☐ **Leaving Office:** Date Left ____/____/_____
(Check one circle.)

-or-

The period covered is ____/____/_____, through
December 31, **2020**.

☐ The period covered is January 1, **2020**, through the date of
leaving office.

-or-

☐ **Assuming Office:** Date assumed ____/____/____

☐ The period covered is ____/____/_____, through
the date of leaving office.

☒ **Candidate:** Date of Election _____ and office sought, if different than Part 1: _____

4. Schedule Summary (must complete) ► Total number of pages including this cover page: 1

Schedules attached

☐ **Schedule A-1 - Investments** – schedule attached

☐ **Schedule C - Income, Loans, & Business Positions** – schedule attached

☐ **Schedule A-2 - Investments** – schedule attached

☐ **Schedule D - Income – Gifts** – schedule attached

☐ **Schedule B - Real Property** – schedule attached

☐ **Schedule E - Income – Gifts – Travel Payments** – schedule attached

-or- ☒ **None - No reportable interests on any schedule**

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)
25942 La Cuesta Ave. Laguna Hills CA 92653

DAYTIME TELEPHONE NUMBER

EMAIL ADDRESS

chrismackey2018@gmail.com

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 10/13/21

(month, day, year)

Signature


(File the originally signed paper statement with your filing official.)

Print

Clear

Chris Mackey – Application Questions and Answers, p. 1

Please describe your Professional and Educational background (attach Resume, if desired):

UCLA Graduate – B.A. in Political Science

Please list any Licenses or Special Certificates held:

California AB1432 Mandated Reporter Training, Cal/ OSHA Covid 19 Protection Plan Training, BSA Youth Protection Training

Please list any Commissions and/or Boards on which you have served:

Traffic Commission – City of Laguna Hills	Year(s) served: 2 years
Parks & Recreation Commission – City of LH	Year(s) served: 6 years
Saddleback Valley Educational Foundation	Year(s) served: 11 years to present

Please list organizations to which you belong (professional, technical, community, service, etc):

Orange County Boy Scouts of America (OCBSA) El Camino Real District Advancement Committee, UCLA Alumni

Why do you wish to serve the City? What qualifications / experience would you bring to the Council?

I believe in service and giving back to the community. I have always done my part to help, volunteer and serve the community in any way I can. When my children were young, I volunteered in the children's activity classes held at the community center. As they got older, I was one of the parents who volunteered to help with the intermediate school dances sponsored by the city. As the years passed, I got involved in other organizations such as PTA, school district foundation and much more.

In the last 8 years, I have been involved in the city as an appointed commissioner for both Parks and Recreation and Traffic Commissions. I feel that being chosen to be on these advisory boards showed that the council had the confidence in me to represent the city council as well as to provide input and recommendations to the staff in matters that were put forth to the

Chris Mackey – Application, p.2

commissions. I feel that with all the experiences I have gone through and done, I have established and maintained an effective working relationship with the city and the residents.

In your opinion, what are the most important issues facing the City of Laguna Hills?

One of the issues facing the city is the development of the mall. There are valid points on both sides of the issue. We must find a middle ground that will satisfy our residents and meet the county and state requirements.

The safety of our residents is always a priority. We need to work with our first responders to keep our city a safe place to live and work. There were ideas of revamping the Neighborhood Watch and ways we can be proactive in our neighborhoods in 2018 when I ran for city council. We need to support programs that connect our residents with one another and to be vigilant in our neighborhoods. We need to make sure we take care of issues around our city and find resolutions.

Another issue is getting businesses into our city and keeping them so that we can generate revenue for our city. We need to work with the land owners and developers to help attract and bring in businesses to our city. The city needs to be part of the development process so that our businesses thrive and help our city become a place where we want to eat, play and shop.

Chris Mackey – Application, p.3

References:

Melody Carruth	25466 Nellie Gail Rd., LH
Principal Bill Hinds	25401 Paseo de Valencia, LH
Maya Carroll	24561 Creekview Dr. LH 92653

Personal Statement of Interest / Qualifications

I have answered why I wish to serve the city as well as my qualifications and experience to do the job as stated above in the first question on Page 1. In addition, in the 2018 election, although I lost by a slim margin, it showed that many residents of Laguna Hills had the confidence in me for city council.